

**BY ORDER OF THE
SECRETARY OF THE AIR FORCE**

AIR FORCE POLICY DIRECTIVE 16-6

27 MARCH 2018



OPERATIONS SUPPORT

**INTERNATIONAL ARMS CONTROL
AND NONPROLIFERATION
AGREEMENTS AND THE DOD
FOREIGN CLEARANCE PROGRAM**

COMPLIANCE WITH THIS PUBLICATION IS MANDATORY

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This Directive implements Department of Defense (DoD) Directive 2060.1, *Implementation of, and Compliance with, Arms Control Agreements*; DoD Directive 4500.54E, *DoD Foreign Clearance Program*. It establishes Air Force policy for arms control and nonproliferation agreements, and foreign clearance. It applies to the entire Air Force to include the Guard and Reserve. Refer recommended changes and questions about this publication to the Office of Primary Responsibility using the Air Force Form 847, *Recommendation for Change of Publication*. Route Air Force Forms 847 from the field through appropriate functional's chain of command. Ensure that all records created as a result of processes prescribed in this publication are maintained in accordance with Air Force Manual 33-363, *Management of Records*, and disposed of in accordance with the Air Force Records Information System Records Disposition Schedule. This Directive may not be supplemented.

SUMMARY OF CHANGES

This document has been revised and needs to be completely reviewed. Major changes include the transfer of responsibilities from Deputy Chief of Staff for Strategic Plans and Programs (AF/A5/8) to Deputy Chief of Staff for Strategic Deterrence and Nuclear Integration (AF/A10) for DoD Directive 2060.1 and DoD Directive 4500.54E; the expansion of AF/A10 roles and responsibilities outlined in Mission Directive 1-60; the revision of roles and responsibilities for other SAF and HAF organizations impacted by international arms control agreements and the

DoD Foreign Clearance Program; and overall reformatting to comply with current publication guidance.

1. Overview. This Directive applies to those international arms control agreements the United States Government has entered into, or is considering entering into, that require identification, verification, inspection, limitation, control, reduction, or elimination of armed forces and armaments of all kinds. This also includes systems of international control, or nonproliferation agreements that prevent the proliferation of weapons of mass destruction by dissuading or impeding access to, or distribution of, sensitive technologies, material, and expertise. This Directive also applies to those international foreign clearance agreements the United States Government has entered into, or is considering entering into, addressing global access for aircraft, equipment, and personnel. This Directive does not cover status-of-forces agreements, except in cases where global access issues are included such as payment of aviation-related fees, aircraft and personnel clearance requirements, personnel credential entry requirements (e.g., passport/visa/identification card and travel orders), and aircraft sovereignty. Historically, international arms control agreements include, but are not limited to, the Open Skies Treaty, the Conventional Armed Forces in Europe Treaty, the New Strategic Arms Reduction Treaty, the Vienna Document, and the Chemical Weapons Convention. International nonproliferation agreements and related commitments include the Missile Technology Control Regime, and the Nuclear Non-Proliferation Treaty. International sovereignty and foreign clearance agreements associated with the DoD Foreign Clearance Program include the Convention on International Civil Aviation as it pertains to State (including military) aircraft, and other access agreements.

2. Policy. The Air Force shall:

- 2.1. Support the negotiation of, implementation of, and compliance with, international arms control and nonproliferation agreements contemplated or entered into by the United States Government, which impact Air Force programs and activities.
- 2.2. Support the negotiation of, and ensure compliance with, international sovereignty and foreign clearance agreements pertaining to the DoD Foreign Clearance Program.
- 2.3. Maintain awareness of when and how Air Force activities may be affected by international arms control and nonproliferation agreements, including the prohibitions, limitations and inspection provisions of these agreements.
- 2.4. Implement international arms control, nonproliferation agreements, and the DoD Foreign Clearance Program by integrating their requirements into the Planning, Programming, Budgeting and Execution System at all levels.
- 2.5. Ensure implementation of, and compliance with, international arms control and nonproliferation agreements, and that the DoD Foreign Clearance Program is carried out in a manner that avoids the compromise of national security information.

3. Roles and Responsibilities.

3.1. Deputy Chief of Staff for Strategic Deterrence and Nuclear Integration (AF/A10) shall:

- 3.1.1. Serve as the Air Force central authority for activities related to managing international arms control and nonproliferation agreements. These activities include negotiations support and policy/guidance formulation necessary to support implementation, inspection, compliance, verification, education and training, and overall

resource requirements (budget and manpower) for these agreements. Refer to Headquarters Air Force Mission Directive 1-60, *Deputy Chief Of Staff Of The Air Force Strategic Deterrence And Nuclear Integration*, for additional roles and responsibilities related to international arms control and nonproliferation agreements.

3.1.2. Perform Executive Agent responsibilities related to the Department of Defense Foreign Clearance Program on behalf of the Secretary of the Air Force, including management, coordination, and communication of Department of Defense policies, guidance, and procedures regarding the payment of aviation-related fees, the sovereign nature of United States military aircraft, and the procurement of diplomatic aircraft and personnel travel clearances. Procedural guidance is published in Department of Defense Directive 4500.54E, *DoD Foreign Clearance Program* and the Department of Defense Foreign Clearance Guide (<https://www.fcg.pentagon.mil>) website. Refer to Headquarters Air Force Mission Directive 1-60 for additional roles and responsibilities related to the Department of Defense Foreign Clearance Program.

3.2. The Administrative Assistant to the Secretary of the Air Force (SAF/AA) shall coordinate with Air Force organizations with Special Access Programs on matters of international arms control and nonproliferation agreements.

3.3. The Assistant Secretary of the Air Force for Acquisition (SAF/AQ) shall ensure the appropriate acquisition documents for both space and non-space programs are provided to AF/A10 at formal program reviews (e.g., Air Force Review Boards, Milestone Reviews, Full Rate Production Decision Reviews, and In-process Reviews) throughout the acquisition life cycle for review and assessment of international arms control and nonproliferation agreements implications.

3.4. The General Counsel to the Secretary of the Air Force (SAF/GC) shall:

3.4.1. Provide legal interpretation, within the Department of the Air Force, of international arms control and nonproliferation agreements, and international sovereignty and foreign clearance agreements as they pertain to the DoD Foreign Clearance Program.

3.4.2. Provide legal advice and assistance for negotiation of, implementation of, and compliance with, international arms control and nonproliferation agreements, and counsel regarding international sovereignty and foreign clearance agreements affecting global access and the implementation of the Department of Defense Foreign Clearance Program.

3.5. The Deputy Under Secretary of the Air Force, International Affairs (SAF/IA) shall coordinate with AF/A10 on matters involving international arms control and nonproliferation agreements that affect exports of Air Force weapons systems.

3.6. When the Judge Advocate General of the Air Force (AF/JA) conducts review of weapons systems for compliance with the law of war, AF/JA will coordinate with SAF/GC and AF/A10 to ensure compliance with the provisions of international arms control and nonproliferation agreements as necessary.

Heather Wilson
Secretary of the Air Force

Attachment 1

GLOSSARY OF REFERENCES AND SUPPORTING INFORMATION

References

DoD Directive 2060.1, *Implementation of, and Compliance with, Arms Control Agreements*, January 09, 2001, certified current as of November 24, 2003

DoD Directive 4500.54E, *DoD Foreign Clearance Program*, December 28, 2009, incorporating Change 1, May 24, 2017

Headquarters Air Force Mission Directive 1-60, *Deputy Chief of Staff of the Air Force Strategic Deterrence and Nuclear Integration*, June 22, 2017

Air Force Manual 33-363, *Management of Records*, March 1, 2008, incorporating Change 2, June 9, 2016

Adopted Forms

Air Force Form 847, *Recommendation for Change of Publication*

Abbreviations and Acronyms

DoD—Department of Defense

Terms

Arms Control—Any plan, treaty, or agreement to limit the number, size, or type of weapons or armed forces of the participating nations.

International Agreement—A politically-binding formal arrangement or understanding between two or more sovereign nations.

Nonproliferation—The prevention of an increase or spread of something, especially the number of countries possessing nuclear weapons.