

**BY ORDER OF THE  
SECRETARY OF THE AIR FORCE**

**DEPARTMENT OF THE AIR FORCE  
INSTRUCTION 36-2907**



**14 OCTOBER 2022**

**Personnel**

**ADVERSE ADMINISTRATIVE  
ACTIONS**

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This publication implements Air Force Policy Directive (AFPD) 36-29, *Military Standards*, and establishes instruction of the management of unfavorable information file (UIF) program, control rosters, letters of reprimand (LOR), letters of admonishment (LOA), and letters of counseling (LOC). It has been developed in collaboration between the Deputy Chief of Staff for Manpower, Personnel, and Services (AF/A1); Chief of the Air Force Reserve (AF/RE); Deputy Chief of Space Operations for Personnel (SF/S1); and the Director of the Air National Guard (NGB/CF). This instruction applies to uniformed members of the United States Space Force (USSF), the Regular Air Force (RegAF), the Air Force Reserve (AFR), the Air National Guard (ANG) on Title 10 orders, and the Civil Air Patrol Reserve Assistance Program. ANG personnel on Title 32 status must utilize the following guidance unless otherwise directed by state law. This instruction requires the collection and or maintenance of information protected by the Privacy Act of 1974 (Title 5 United States Code (USC) Section 552a, *Records Maintained on Individuals*) authorized by Department of Defense Instruction (DoDI) 5400.11, *DoD Privacy and Civil Liberties Programs*. The applicable System of Record Notices F036 AFPC L, *Unfavorable Information Files*, and F036 AFPC C, *Military Personnel Records System*, are available at <https://dpclid.defense.gov/Privacy/SORNs/>. Ensure all records generated as a result of processes prescribed in this publication adhere to Air Force Instruction (AFI) 33-322, *Records Management and Information Governance Program*, and are disposed in accordance with the Air Force Records Disposition Schedule which is located in the Air Force Records Information Management System. Refer recommended changes and questions about this publication to the office of primary responsibility using the Department of the Air Force (DAF) Form 847, *Recommendation for Change of Publication*; route DAF Forms 847 from the field through the appropriate functional

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### ***SUMMARY OF CHANGES***

This document has been substantially revised and needs to be completely reviewed. This rewrite is in response to the National Defense Authorization Act for Fiscal Years 2020 and 2021, as codified in 10 USC §§ 615(a)(3) and 14107(a)(3)(A), which requires adverse information to be furnished to selection boards considering regular officers for promotion to the grades of major and above, and reserve officers to colonel and above. Substantial additions have been added to this regulation, directing specific adverse information to be updated in officer selection records (OSR) and providing specific examples of the templates required.

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## Chapter 1

### OVERVIEW, ROLES, AND RESPONSIBILITIES

**1.1. Overview.** Adverse administrative actions are intended to improve, correct, and instruct subordinates who violate established Department of the Air Force (DAF) standards whether on or off duty. Misconduct generally should be addressed at the lowest possible level, as soon as possible, to ensure an Airman's or Guardian's career is not negatively affected unnecessarily. The decision to utilize these quality force management tools should be based primarily on two factors: the nature of the incident and the previous disciplinary record of the Airman or Guardian. In deciding what type of action to take, consider the seriousness of the Airman's or Guardian's departure from established standards. Additionally, adverse administrative action should be used as part of a progressive discipline process; however, there is no requirement to issue a lower level action to address an Airman's or Guardian's first instance of misconduct. Some misconduct warrants a more severe form of action or action from higher in the chain of command.

1.1.1. LOCs, LOAs, and LORs are quality force management tools available to supervisors, superiors, and commanders. These tools are disciplinary and corrective in nature, but not punitive.

1.1.2. The UIF is an official record of unfavorable information about an individual. It documents administrative, judicial, and nonjudicial actions.

1.1.3. The control roster is a rehabilitative tool commanders may use to establish a 6-month observation period.

1.1.4. Nonjudicial Punishment under Article 15, Uniform Code of Military Justice is a disciplinary measure more serious than administrative action but less serious than a court-martial.

1.1.5. A civilian director filling a position equivalent to that of a commander has the same authorities regarding UIFs and control rosters as a commander on G-Series orders. (See AFI 51-509, *Appointment to and Assumption of Command*).

1.1.6. Raters should consider making comments on performance reports when the ratee receives an LOC, LOA, or LOR. See DAFI 36-2406, *Officer and Enlisted Evaluation Systems*, for additional guidance regarding appropriate performance report comments.

1.1.7. LOCs, LOAs, and LORs are subject to the rules of access, protection, and disclosure outlined in The Privacy Act of 1974. **(T-0)** The same rules apply to copies kept by supervisors and commanders and those filed in an individual's UIF or the unit's personnel information file (PIF) on the particular member. **(T-0)**

**1.2. Adverse Information for Total Force Officer Selection Boards Overview.** All adverse information an officer receives will be filed in the OSR and will be considered by promotion selection, special selection, federal recognition (ANG specific), and selective continuation boards to the grade of O-4 and above (to include processes for O-3 promotions that have "extraordinary adverse information" per Department of Defense Instruction (DoDI) 1320.14, *DoD Commissioned Officer Promotion Program Procedures*). **(T-0)**

1.2.1. Adverse information is any substantiated finding or conclusion from an officially documented investigation or inquiry or any other credible information of an adverse nature.

To be credible, the information must be resolved and supported by a preponderance of the evidence. **(T-0)** To be adverse, the information must be derogatory, unfavorable, or of a nature that reflects clearly unacceptable conduct, integrity, or judgment on the part of the individual. **(T-0)** Adverse information includes, but is not limited to:

1.2.1.1. Any substantiated adverse finding(s) or conclusion(s) from an officially documented investigation or inquiry, regardless of whether command action was taken as a result (reference [paragraph 1.2.7](#)). **(Note:** While some investigations [e.g., Inspector General, Commander Directed or Equal Opportunity investigations] conclude with substantiated or not substantiated findings, investigations conducted by certain authorities, such as Security Forces or the Office of Special Investigations (OSI), neither substantiate nor refute allegations. Consequently, Security Forces and OSI investigations are not considered adverse information. However, command action taken as a result of information presented in an SF or OSI Report of Investigation is considered adverse information and must be filed in the OSR if a letter of admonishment or higher was issued). **(T-0)**

1.2.1.2. Approved court-martial findings of guilt (Court-Martial Orders or Entry of Judgement).

1.2.1.3. Nonjudicial punishment pursuant to Article 15, UCMJ.

1.2.1.4. LORs.

1.2.1.5. LOAs.

1.2.1.6. Notice of Relief of Command (for cause). Sample memorandum provided at [Attachment 9](#). The subject officer will be provided a copy of the Memorandum for Record (MFR) and will be afforded an opportunity to submit written comments in response to the filing of the Notice of Relief of Command (for cause) in the OSR. This is a response to the OSR filing and not the adverse action issued to the officer. The MFR and the officer's comments (if any) will be sent to the Secretary of the Air Force, Office of the Inspector General, Complaints Resolution Directorate (SAF/IGQ), in accordance with AFI 90-301, *Inspector General Complaints Resolution*, Tables 4.4, 7.1 and 8.2, and to the member's Military Personnel Flight (MPF), Commander's Support Staff (CSS), or equivalent personnel support function for inclusion in the Master Personnel Records Group (MPerRGp) and OSR.

1.2.1.7. LOC related to a substantiated finding or conclusion from an officially documented investigation or inquiry.

1.2.1.8. Developmental Education Removal (for cause). The subject officer will be provided a copy of the MFR and will be afforded an opportunity to submit written comments in response to the filing of the Developmental Education Removal (for cause) in the OSR. This is a response to the OSR filing and not the adverse action issued to the officer. The MFR and the officer's comments (if any) will be sent to SAF/IGQ, in accordance with AFI 90-301, Tables 4.4, 7.1, and 8.2, and to the member's MPF, CSS, or equivalent personnel support function for inclusion in the MPerRGp and OSR.

1.2.2. LOCs unrelated to a substantiated finding or conclusion from an officially documented investigation or inquiry will not be considered adverse information. **(T-0)** This preserves commanders' ability to administratively document and rehabilitate minor instances of substandard behavior or misconduct without making it a part of the permanent record (also referred to as "standalone" LOCs)

1.2.3. All adverse information as defined by this instruction will be permanently placed in the MPerRGp. **(T-0)** Except for the set aside of a court-martial or nonjudicial punishment, removal of adverse information from the MPerRGp may only be directed pursuant to an Air Force Board for Correction of Military Records (AFBCMR) recommendation. **(T-1)**

1.2.4. Approved court-martial findings of guilt are retained in the OSR permanently unless the findings are overturned on appeal or set aside in their entirety, and the member is not subsequently found guilty at a rehearing or new trial. **(T-0)** If removed from the OSR for this reason, this is not considered adverse information and will not be filed in the MPerRGp. **(T-0)** All other adverse information filed in the OSR will remain in the OSR.

1.2.4.1. For O-6 and below boards and processes. For ten years, except for substantiated conduct, any single act of which, tried by court-martial, could have resulted in the imposition of a punitive discharge and confinement for more than one year. **(T-0)** If the exception is met, the adverse information will remain in the OSR. **(T-0)** Except for the set aside of a court-martial or nonjudicial punishment, earlier removal of adverse information from the OSR may only be directed pursuant to an AFBCMR recommendation. **(T-0)**

1.2.4.2. For general officer promotion boards. For (1) ten years, except for substantiated conduct, any single act of which, tried by court-martial, could have resulted in the imposition of a punitive discharge and confinement for more than one year; or (2) until the United States Senate considers it and confirms the officer. **(T-0)** Except for the set aside of a court-martial or nonjudicial punishment action, earlier removal of adverse information from the OSR may only be directed pursuant to an AFBCMR recommendation. **(T-0)**

1.2.5. The date of command action is used to establish the 10-year time period. **(T-0)**

1.2.5.1. For adverse cases described below in [paragraph 1.2.7](#) for which there is no command action, the date of the substantiated adverse finding(s) or conclusion(s) from the officially documented investigation or inquiry is used to establish the time period. In neither case is the date of the incident used. If the exception in the ten-year rule is met, the adverse information will be retained in the OSR permanently. **(T-0)**

1.2.5.2. MAJCOM and FLDCOM staff judge advocates will provide a separate memorandum articulating whether the officer's adverse information meets or does not meet the exception to the 10-year retention rule as outlined in [paragraph 1.2.4](#) and [paragraph 1.2.5.1](#) for retention beyond 10-years. The memorandum will be included with the command action documents submitted to the MPF, CSS, or equivalent personnel support function for inclusion in the MPerRGp. **(T-1)**

1.2.6. Notwithstanding the 10-year retention rule in [paragraph 1.2.4.1](#), in those instances where an officer had extraordinary adverse information that was not, but should have been reviewed by a promotion selection board, promotion process, or promotion review board prior to the officer's promotion, and the adverse information was subsequently removed from the

officer's OSR, the extraordinary adverse information will be provided to a review board, as appropriate, or to the next board or process for consideration. **(T-0)**

1.2.7. Additional Information Pertinent to Officially Documented Investigations or Inquiries. In the event that an officially documented investigation or inquiry concludes with a substantiated finding and a commander (or equivalent) decides not to issue written command action (e.g., Record of Individual Counseling (RIC), LOC, LOA, LOR, and Non-Judicial Punishment), to include verbal counseling, the findings and the commander's decision must still be documented and filed in the MPerRGp and OSR via a MFR (sample provided at [Attachment 6](#)) and Adverse Information Summary (AIS) (sample provided at [Attachment 7](#)). **(T-0)**

1.2.7.1. The AIS must include the following: (1) grade and position at time of allegation, (2) summary of what the officer did, (3) investigating agency, (4) findings, (5) date findings approved, (6) command actions taken (e.g., verbal counseling or no command action), and (7) reason for command action (or no command action). **(T-0)**

1.2.7.2. The subject officer will be provided a copy of the MFR and AIS and will be afforded an opportunity to submit written comments in response to the documents before they are filed in the OSR (see [Chapter 2](#) for response times). **(T-0)** The MFR, AIS, and written response submitted by the will be sent to SAF/IGQ, in accordance with AFI 90-301, and to the member's MPF, Commander's Support Staff (CSS), or equivalent personnel support function for inclusion in the MPerRGp and OSR. **(T-0)**

1.2.8. Historic adverse information issued prior to the date of the implementation of this instruction will be filed in the MPerRGp. **(T-0)** Subject to the rules in both paragraphs [1.2.4](#) and [1.2.5.1](#), on retention of adverse information in an OSR, select historic adverse information that was issued prior to the date of the implementation of this instruction, will also be filed in the OSR (refer to [Attachment 8](#), [Figure A8.1](#) and [Figure A8.2](#)). **(T-0)** This direction applies even in those situations in which a commander elected not to file the adverse information in an OSR or where the adverse information was removed from an OSR pursuant to previous guidance. Historic adverse information, not already contained in the MPerRGp or OSR that must now be filed includes each of the following:

1.2.8.1. Field Grade Officer (FGO) Adverse information disposition (reference [Attachment 8](#), [Figure A8.1](#)) where the disposition was assessed when the member was an FGO:

1.2.8.1.1. Nonjudicial punishment pursuant to Article 15, UCMJ. **(T-0)**

1.2.8.1.2. Adverse information that received significant media attention or is of interest to the Senate Armed Services Committee (Extraordinary Adverse), as provided in DoDI 1320.04, *Military Officer Actions Requiring Presidential, Secretary of Defense, or Under Secretary of Defense for Personnel and Readiness Approval or Senate Confirmation*, Enc. 6, paragraph 2(a)(2)(a). **(T-0)**

1.2.8.1.3. Notices of Relief of Command (for cause) issued on or after 1 January 2012. **(T-0)**



- 1.2.8.1.4. Substantiated finding(s) or conclusion(s) from an officially documented investigation or inquiry, approved on or after 1 January 2012, where a commander decided not to issue written command action or the command action is not available. **(T-0)** The current commander of the officer, in cooperation with the officer's commander at the time the substantiated finding was approved, if available, must follow the process in [paragraph 1.2.7](#). **(T-0)**
- 1.2.8.1.5. LORs and LOAs issued on or after 1 January 2012. **(T-0)**
- 1.2.8.1.6. LOCs issued on or after 1 January 2012 related to a substantiated finding or conclusion from an officially documented investigation or inquiry. **(T-0)**
- 1.2.8.1.7. Notices of Developmental Education Removal (for cause) issued on or after 1 January 2012.
- 1.2.8.2. Company Grade Officer (CGO) adverse information disposition (reference [Attachment 8, Figure A8.2](#)) where the disposition was assessed when the member was a CGO:
- 1.2.8.2.1. Nonjudicial punishment pursuant to Article 15, UCMJ. **(T-0)**
- 1.2.8.2.2. Adverse information that received significant media attention or is of interest to the Senate Armed Services Committee (Extraordinary Adverse), as provided in DoDI 1320.04, Enc. 6, paragraph 2(a)(2)(a). **(T-0)**
- 1.2.8.3. Officers are not required to furnish historic adverse information on themselves. All required historic adverse information will be migrated by the Air Force Personnel Center and the Air Reserve Personnel Center from existing data systems into the Automated Records Management System and made part of the MPerRGp and OSR. **(T-0)** Adverse information already in the OSR regardless of when issued and received must remain in the OSR, unless removed under the rules in [paragraph 1.2.4](#) (and its subparagraphs). **(T-0)**
- 1.2.9. Officers are responsible for ensuring the accuracy of their records prior to meeting any promotion board. Officers who have adverse information as part of their history should check the Personnel Records Display Application to ensure that a full record of the adverse information is in their record, to include the full response, if applicable.
- 1.2.10. Officers will not receive an additional opportunity to respond to the adverse information or provide information to the board, with the exception of the right to communicate with the promotion board directly via letter. **(T-0)** Officers may elect to write a letter to the board in accordance with DAFI 36-2501, *Officer Promotions and Selective Continuation*; AFI 36-2504, *Officer Promotion; Continuation and Selective Early Removal in the Reserve of the Air Force*; or Air National Guard Instruction (ANGI) 36-2505, *Federal Recognition Examining Boards for Appointment or Promotion in the ANG Below General Officer*, to address adverse information included in the OSR.
- 1.2.11. Unless otherwise directed, general officers with adverse information not documented in their officer performance report or their OSR will continue to have a Senior Officer Unfavorable Information File (SOUIF) created for consideration by a selection board (guidance on SOUIF is provided in AFI 90-301).

1.2.12. Removing Officer Adverse Information from the OSR. Following the 10-year disposition period, the AFPC Special Programs office notifies the ARMS program manager for removal of adverse information from an officer's OSR, unless the adverse information meets the exception to the 10-year retention rule as outlined in [paragraph 1.2.4](#) and [paragraph 1.2.5.1](#) for retention beyond 10-years. (See also [paragraph 1.2.4.2](#), removal after consideration by the Senate).

### 1.3. Roles and Responsibilities.

1.3.1. Air Force Deputy Chief of Staff, Manpower, Personnel and Services (AF/A1). Establishes personnel guidance in collaboration with the Deputy Chief of Space Operations for Personnel (SF/S1), AF/RE, and NGB/CF, develops Department of the Air Force Instructions and submits to the Assistant Secretary of the Air Force, Manpower and Reserve Affairs (SAF/MR) for coordination and concurrence prior to publication.

1.3.2. Air Force Directorate of Military Force Management Policy (AF/A1P). Provides oversight for Adverse Administrative Actions addressed in this instruction and collaborates with the Space Force Force Management Policy (SF/S1P), ANG, and AFR on all policy changes.

1.3.3. Air Force Personnel Center (AFPC) and Air Reserve Personnel Center (ARPC) Promotions, Reenlistments, and Assignment Offices will monitor UIF, control roster, and Code 2 updates and reconcile Military Personnel Data System (MilPDS) mismatches, as necessary.

1.3.4. Headquarters Individual Reservist Readiness & Integration Organization (HQ RIO) Promotions, Reenlistments, and Assignments Offices will monitor UIF, control roster, and Code 2 updates, complete required promotion eligibility status and reenlistment eligibility code updates, and reconcile MilPDS mismatches, as necessary.

1.3.5. The Military Personnel Flight (MPF) will:

1.3.5.1. Manage adverse administrative actions for units without a CSS.

1.3.5.2. Monitor all transaction register (TR) remarks associated with adverse actions and take appropriate actions.

1.3.5.3. Maintain a copy of all appointment letters for current unit Adverse Administrative Actions Managers.

1.3.5.4. Consult [Table 3.1](#) to identify the appropriate Adverse Administrative Actions Manager for members of the AFR and ANG.

1.3.5.5. Provide necessary personnel support for units without a CSS and ensure proper entering of adverse information for inclusion in the MPerRGp and OSR, as directed.

1.3.5.6. Provide appropriate notification to unit commander and installation judge advocates when Air Force Personnel Center confirms adverse information has been placed into a member's selection record. **Exception:** For Office of Special Investigation (OSI) CSSs and GSUs, see [paragraph 1.3.7.8](#).

1.3.6. The Unit Commander will:

1.3.6.1. Confer with the Office of the Staff Judge Advocate to determine appropriate actions.

1.3.6.2. Ensure Airmen and Guardians are informed of the right to consult with the servicing Area Defense Counsel.

1.3.6.3. Unless granting an extension, provide members three duty days to submit rebuttal documents in response to adverse administrative actions. Commanders will afford all Air Reserve Component members not in an active duty status 45 calendar days to submit rebuttal documents.

1.3.6.4. Review rebuttal responses for LOCs, LOAs and LORs, if submitted, and make final decisions.

1.3.6.5. If making the determination to place the action in a UIF, complete the DAF Form 1058, *Unfavorable Information File Actions*, and have the Airman or Guardian acknowledge receipt and understanding of the action(s) being taken.

1.3.6.6. Furnish all officer adverse information to the member's MPF, CSS, or equivalent personnel support function for inclusion in the MPerRGp and OSR.

1.3.6.7. Review the Force Support Squadron (FSS) audit and self-inspection checklist in [Attachment 2](#).

1.3.6.8. Appoint an Adverse Administrative Actions Manager in writing and forward the letter to the appropriate MPF within 30 calendar days of taking command.

1.3.6.9. Review all UIFs under their authority within 90 days for their permanent assumption or appointment to command.

1.3.6.10. Receive, review, and report demographic data of the issuer and recipient of all administrative actions for all individuals under their immediate command, in accordance with [paragraph 5.2](#).

1.3.7. The Commander's Support Staff will:

1.3.7.1. Manage the unit adverse administrative action program.

1.3.7.2. Update UIF Codes 1, 2, 3, and 4.

1.3.7.3. Utilize the Self-Inspection Checklist ([Attachment 2](#)) to manage the program.

1.3.7.4. Conduct a semi-annual audit to purge expired action(s) and destroy files upon expiration.

1.3.7.5. Review source documents such as LORs and Article 15s prior to updating UIF and control roster codes, maintain electronic or paper (if required) UIF case files and document entries.

1.3.7.6. Maintain unit PIF, as a minimum, for officers who received a LOA or LOC which are not filed in an officer's UIF.

1.3.7.7. Process unit administrative actions (for example, Records of Individual Counseling, LOCs, LOAs, LORs, or control roster actions).

1.3.7.8. For OSI CSS and GSUs only, provide appropriate notification to unit commander and installation judge advocates when Air Force Personnel Center confirms adverse information has been placed into a member's selection record.

1.3.8. Adverse Administrative Actions Managers comprise both Base-level Adverse Administrative Actions Manager(s) and Unit-level Adverse Administrative Actions Manager(s).

1.3.8.1. The Base-level Adverse Administrative Actions Manager(s) will:

1.3.8.1.1. Audit UIFs at least twice a year by comparing data in MilPDS to UIFs on file.

1.3.8.1.2. Sign the audit list or self-inspection checklist ([Attachment 2](#)) and file it in general correspondence.

1.3.8.1.3. Conduct unit training at least annually, within 60 days of notification of appointment of a new unit Adverse Administrative Actions Manager, upon request from a unit commander, or when needed.

1.3.8.1.4. Coordinate with the Office of the Staff Judge Advocate to ensure UIFs receive an annual legal review.

1.3.8.2. Unit-level Adverse Administrative Actions Manager(s) will:

1.3.8.2.1. Audit UIFs at least twice a year. Compare data in MilPDS to UIFs on file.

1.3.8.2.2. Sign the audit list or self-inspection checklist ([Attachment 2](#)) and file it in general correspondence.

1.3.9. Office of the Staff Judge Advocate will:

1.3.9.1. Conduct annual review of UIFs.

1.3.9.2. Provide a legal review when a UIF is established and when documents are added. The legal review does not need to be in writing.

1.3.9.3. Obtain a MAJCOM/FLDCOM memorandum on the applicability of the exception to the 10-year retention rule as outlined in [paragraph 1.2.4.1](#) and [paragraph 1.2.4.2](#). The memorandum will be included with the command action documents submitted to the MPF, CSS, or equivalent personnel support function for inclusion in the MPerRGp.

## Chapter 2

### ADMINISTRATIVE COUNSELING, ADMONISHMENT, AND REPRIMAND

**2.1. Use of Administrative Counselings, Admonishments, and Reprimands.** General officers, commanders, first sergeants, supervisors (military or civilian), and other individuals in the member's administrative or operational chain of command can issue administrative actions. This includes issuing administrative counseling, admonishment, and reprimands to Air Reserve Component (ARC) Airmen who commit an offense while in civilian status. In order to ensure consistency, units may utilize locally produced forms as long as the forms contain the same information as that in [Attachment 5](#), have been reviewed by their Office of the Staff Judge Advocate, and have been approved for use by the installation commander.

**2.2. Standard of Proof.** The Standard of Proof for adverse administrative actions is a "preponderance of the evidence." This standard will be used when evaluating the evidence and every element of the alleged offenses. **(T-1)**

2.2.1. A preponderance of the evidence merely means that it is more likely than not that a fact exists. Preponderance of the evidence is not determined solely by the volume of witnesses or documentary evidence supporting or refuting an allegation. Rather, it is based on the totality of the circumstances, the inherent probability or improbability of the evidence, and a determination as to the weight and significance of the evidence and the credibility of the witnesses.

2.2.2. Consider whether such proof is available before initiating the administrative action. If such proof is lacking, administrative action may be determined legally insufficient and, as a result, could be withdrawn. There is no requirement to prove any allegation beyond a reasonable doubt.

**2.3. Verbal Counseling and Records of Individual Counseling.** Commanders, first sergeants, supervisors, and other individuals in the member's administrative or operational chain of command will assist Airmen and Guardians in developing skills, attitudes, and behaviors that are consistent with DAF standards. Members of the administrative or operational chain of command will routinely counsel Airmen and Guardians either verbally or in writing. **(T-3)**

2.3.1. Verbal Counseling. A verbal counseling is the lowest level corrective tool. It is not required to formally record this type of counseling unless the issuer finds the Airman or Guardian failed to subsequently follow members of the administrative or operational chain of command's direction.

2.3.2. Record of Individual Counseling (RIC). The DAF Form 174, *Record of Individual Counseling*, is used document a verbal counseling session. It is used to document a record of positive or negative counseling and is useful when completing performance evaluations. It is the least severe form of written administrative actions.

2.3.3. Letter of Counseling (LOC). Administrative censure for violation of standards. The intended outcome of a LOC is to help Airmen and Guardians use good judgment, assume responsibility, understand and maintain standards, and face and solve problems. Generally, this is a form of corrective action appropriate for correcting habits or shortcomings not necessarily criminal or illegal, but which can ultimately affect job performance, work center morale, and discipline.

2.3.4. Letter of Admonishment (LOA). Administrative censure for violation of standards which is more severe than a RIC and LOC. It could be used to document a first offense or address behaviors not corrected through counseling. Do not use it when a reprimand is more appropriate.

2.3.5. Letter of Reprimand (LOR). Administrative censure for violation of standards which is more severe than a RIC, LOC, and LOA and indicates a stronger degree of official censure. It may also be issued when other, less severe methods have failed to correct behavior.

2.3.5.1. For officers only: Only supervisors and members of the officer's current administrative or operational chain of command may issue a LOR to an officer. **(T-1)** If the person who issues the LOR is not the officer's unit commander, the person who issued the reprimand must send it to the administrative unit commander. **(T-1)** The administrative unit commander acknowledges and endorses the DAF Form 1058, establishing the UIF or, if the member has an existing UIF, adds the document to the member's UIF. **(T-1)** Include the member's written acknowledgment and any documents submitted by the member in response to the administrative action taken. **(T-2)** See [paragraph 1.2](#) and [Attachment 8](#) for filing adverse in the OSR.

2.3.5.2. The DAF Form 1058 does not need to be referred to the officer for a response because LORs for officers are mandatory UIF filings. The DAF Form 1058 is used only to obtain the commander's acknowledgment of the action (for LOR administered by personnel other than the commander) and to refer the LOR for file in the UIF. The LOR will also be filed in the MPerRGp and OSR. **(T-0)**

## **2.4. Administering Records of Individual Counseling, Letters of Counseling, Letters of Admonishment, or Letters of Reprimand.**

2.4.1. For enlisted Airmen and Guardians: Issuing authorities, especially first-time supervisors, are encouraged to seek assistance from the supervisory chain, senior enlisted leader, first sergeant, and/or legal office prior to administering RICs, LOCs, LOAs, or LORs to the member.

2.4.2. Document a counseling, admonishment, or reprimand in writing. **(T-1)** Ensure each document includes:

2.4.2.1. The reasons for the action, including what the member did or failed to do, citing specific incidents and their dates. **(T-3)**. While citation to a specific Uniform Code of Military Justice or Air Force Instruction violation may provide clarity, it is not required.

2.4.2.2. Identify expected improvements to member, if appropriate.

2.4.2.3. Inform member that further deviation may result in more severe action. **(T-3)**

2.4.2.4. USSF, RegAF, Active Guard and Reserve (AGR), ARC statutory tour members, and ANG members in Title 10 active duty status will be allocated 3 duty days (current date plus 3 duty days) to acknowledge the intended actions and provide pertinent information before the issuing authority makes the final decision on the administrative action. **(T-2)** ARC members not in an active duty status, who depart the duty area prior to the 3 duty days allowed for acknowledging intended actions, have 45 calendar days from the date of receipt to acknowledge the intended actions and provide pertinent information before the issuing authority makes the final decision. **(T-2)** In calculating the time to respond, the date



of receipt is not counted, and if the individual mails their acknowledgment, the date of the postmark on the envelope will serve as the date of acknowledgment. **(T-1)** The individual is presumed to be in receipt of official correspondence if it is delivered by certified mail to the individual's address or best available address. See [Attachment 5](#) for the recommended format and required statements.

2.4.2.5. The member's written response will become part of the record. **(T-0)**

2.4.2.6. LOCs, LOAs, and LORs will include and list as attachments: relevant statements, portions of investigations, reports, and other documents that serve, in part or in whole, as the basis for the letter. **(T-2)** Redact Privacy Act material and Personally Identifiable Information and mark, "Controlled Unclassified Information." **(T-1)**

2.4.2.6.1. Documents released from investigations, reports, etc., should be accompanied by a cover memorandum indicating that the documents are "Controlled Unclassified Information" and specify any handling requirements (see [Attachment 4](#) for a sample memorandum). The issuer of a LOC, LOA, or LOR is not required to create statements or other documentary evidence that does not exist otherwise to support the basis of an adverse administrative action.

2.4.2.6.2. Documents should not be released to the member without authorization from the issuing authority. Release approval should be obtained before the letter is issued. When impractical to obtain release before the letter is issued, obtain such approval as soon as possible after service of the letter on the member but do not release the documents until approval is received.

2.4.2.7. Individual counseling sessions documented on DAF Form 174 do not require the issuer to provide the member written statements or other documentary evidence. However, the issuing authority may include such documents, if relevant.

2.4.3. The LOC, LOA, or LOR issuing authority, after considering any comments submitted by the individual, must inform the member within 3 duty days of their decision as to the final disposition of the action. **(T-2)** For ARC members not in an active duty status, the issuing authority has 45 calendar days from the date of personal delivery or date of receipt of the certified letter to reply to the member (see [Attachment 5](#) for a sample administrative letter).

2.4.4. Contents of Record. The record of the action consists of the finalized LOC, LOA, or LOR and written response thereto submitted by the member and/or the member's defense counsel. Additional materials submitted by the member in mitigation, extenuation, or defense are not part of the record. Evidence and any other written materials considered as a basis for imposing the administrative letter are not part of the record. **(T-1)**

2.4.5. Actions After Administering a RIC, LOC, LOA, or LOR.

2.4.5.1. The RIC, LOC, LOA, LOR issuing authority must send the finalized record to the member's commander or superiors for information, action, or for their approval to file in the member's UIF and PIF. **(T-1)** For officers, adverse information must be placed in the OSR in accordance with [paragraph 1.2](#) and [Attachment 8](#). **(T-0)** When forwarding the action to the member's commander, include the member's written acknowledgment and any documents submitted by the member; however, as provided in [paragraph 2.4.4](#), only the original action and the member's and/or member's defense counsel's written response

will become part of the record. **(T-1)** For officers, LORs must be filed in the UIF, and any RICs, LOCs, or LOAs not filed in the UIF must be filed in the officer's PIF. **(T-2)** LOCs related to a substantiated finding or conclusion from an officially documented investigation or inquiry, LOAs, and LORs, must be filed in the officer's MPerRGp and OSR in accordance with [paragraph 1.2](#) and [Attachment 8](#). **(T-0)**.

2.4.5.2. The issuing authorities for LOCs, LOAs, or LORs for a general officer or general officer select will forward the original document and attachments to Air Force General Officer Management (AF/A1LG), AFR Senior Leader Management (AF/REG) or the National Guard Bureau Senior Leader Management Office (NGB-SL) for distribution, as appropriate, to the member's commander. **(T-1)**

2.4.5.3. See AFI 90-301 for processing requirements associated with command actions taken against officers.

2.4.6. Rescinding RIC, LOC, LOA, or LOR documents contained in a PIF or a UIF. Subject to the criteria in [paragraph 2.4.6.1](#) and limitations in [paragraph 2.4.6.2](#) and [paragraph 2.4.6.3](#), the individuals listed in [paragraph 2.1](#) and [paragraph 4.4.1](#) through [paragraph 4.4.6](#) of this instruction, if equal to or senior in grade to the initial imposing authority, may rescind RICs, LOCs, LOAs or LORs upon those individuals own initiative or upon a request from the member if the member is within their command. For example, if an E-7 issues a LOR to a subordinate E-3, the E-3 petitions the squadron commander to rescind the LOR.

2.4.6.1. These individuals may rescind RICs, LOCs, LOAs, or LORs only in the following circumstances:

2.4.6.1.1. In the rare situation in which new evidence shows, by a preponderance of the evidence, that the member did not commit the act underlying the original administrative action;

2.4.6.1.2. If the issuing authority issued the administrative action in a way that violated the member's due process rights; or

2.4.6.1.3. If the appropriate authority determines more or less severe action is warranted.

2.4.6.2. RICs, LOCs, LOAs, or LORs contained in UIFs.

2.4.6.2.1. For enlisted, the member's current unit commander or individuals listed in [paragraphs 2.1](#) and [4.4.1](#) through [4.4.6](#) in the current chain of command and equal or senior in grade to the initial imposing authority, may rescind RICs, LOCs, LOAs, or LORs in the member's UIF.

2.4.6.2.2. For officers, the member's current wing/delta commander, or individuals listed in [paragraph 2.1](#) and [paragraph 4.4.1](#) through [paragraph 4.4.6](#) in the current chain of command and equal or senior in grade to the initial imposing authority, may only rescind RICs and standalone LOCs (see [paragraph 1.2.2](#)) contained in the officer's UIF.



#### 2.4.6.3. RICs, LOCs, LOAs, or LORs contained in PIFs.

2.4.6.3.1. For enlisted, the member's current unit commander or individuals listed in [paragraph 2.1](#) and [paragraph 4.4.1](#) through [paragraph 4.4.6](#) in the current chain of command and equal or senior in grade to the initial imposing authority, may rescind RICs, LOCs, LOAs, or LORs in the member's PIF.

2.4.6.3.2. For officers, the member's current unit commander or individuals listed in [paragraph 2.1](#) and [paragraph 4.4.1](#) through [paragraph 4.4.6](#) in the current chain of command and equal or senior in grade to the initial imposing authority may only rescind RICs and standalone LOCs (see [paragraph 1.2.2](#)) contained in the officer's PIF.

2.4.6.3.3. Rescinding a RIC, LOC, LOA, or LOR removes the rescinded action from the UIF or PIF. However, it does not automatically remove it from historical documents or actions collaterally impacted by the rescinded document, such as a referral Officer or Enlisted Performance Report or promotion withhold. Airmen and Guardians seeking relief from the collateral consequences of a later-rescinded administrative action must apply to the appropriate forum, to include, for example, the AFBCMR. **(T-1)**

2.4.6.3.4. For officers only, if previously reported, commanders or civilian equivalents must report rescission of such documents to SAF/IGQ or Secretary of the Air Force, Office of the Inspector General, Senior Official Inquiries (SAF/IGS). **(T-1) For ANG only:** If a member in Title 10 status receives an adverse administrative action while on Title 10 orders from a Title 10 superior, and then returns to Title 32 status, the member's Title 32 superior with authority to rescind any adverse action may not do so without coordinating the action with the imposing Title 10 commander and sending the proper rescission to the 201st Mission Support Squadron. **(T-1)**

2.4.6.4. RICs, LOCs, LOAs, or LORs no longer contained in a PIF or UIF. Records contained in other Department of the Air Force records systems, not a unit PIF or UIF (e.g., MPerRGp, OSR, SOUIF), may not be rescinded by a commander or civilian director. Members, who believe they have suffered an error or injustice, may apply to the AFBCMR to have their records of RICs, LOCs, LOAs, or LORs removed from other Department of the Air Force records systems.

## Chapter 3

### UNFAVORABLE INFORMATION FILE (UIF)

**3.1. UIF Authorities to Establish, Destroy or Remove.** UIFs may be established, destroyed, or removed by the following individuals:

3.1.1. Commanders and equivalent civilian directors at all levels. Commanders must be on G-series orders and senior to the member. **(T-1)**

3.1.2. The commander, deputy commander, staff director and directors at MAJCOM/FLDCOM or MAJCOM/FLDCOM commander directed designee, field operating agencies, and direct reporting units and The Adjutant General (TAG), Commanding General, or directed designee at the applicable State, District, or Territory Headquarters for Title 32 members.

3.1.3. Chief of Staff, Chief of Space Operations, Vice Chief of Staff, Vice Chief of Space Operations, Assistant Vice Chief of Staff, Space Force Director of Staff, deputy chiefs of staff, deputy chiefs of space operations, assistant chiefs of staff, assistant chiefs of space operations, other heads, and directors (military or civilian) of deputy chiefs of staff and chiefs of space operations or other staff agencies.

3.1.4. Civilian leaders and military officers in positions comparable to those listed in [paragraph 3.1.3](#) within the Office of the Secretary of the Air Force (SAF), Office of the Joint Chiefs of Staff, and Office of the Secretary of Defense.

3.1.5. The senior Air Force or Space Force officer assigned to a joint command.

3.1.6. The HQ RIO Detachment Commanders for Civil Air Patrol Reserve Assistance Program and the Admissions Liaison Officer Program.

3.1.7. When a commander in a joint command, assigned to a different service, imposes nonjudicial punishment on an Air Force or Space Force enlisted member, the senior Air Force or Space Force officer or commander must decide whether to establish a UIF (see AFI 51-202, *Nonjudicial Punishment*). **(T-1)**

3.1.7.1. If the senior Air Force or Space Force officer or commander of the enlisted member's element is not available or is junior to the commander who imposed the punishment, the General Court-Martial Convening Authority of the Air Force or Space Force host command decides whether to establish a UIF.

3.1.7.2. If the Air Force or Space Force host command does not have a General Court-Martial Convening Authority who is senior to the commander who imposed punishment, the Air Force or Space Force host command's MAJCOM/FLDCOM commander will designate an Air Force or Space Force General Court-Martial Convening Authority senior to the commander who imposed punishment to decide whether to establish a UIF. **(T-1)**

**3.2. Initiating a UIF.** The appropriate UIF authority initiates a UIF by referring applicable documents to the member using a DAF Form 1058. **(T-1)**

3.2.1. Officer UIFs. Optional documents (LOA and LOC) for officers are referred to the offending member with a DAF Form 1058 before establishing a UIF. For mandatory documents (Article 15s, LOR, court-martial or civilian court convictions), the DAF Form 1058

does not need to be referred to the officer for a response. Should a member be issued an AF Form 3070C, *Record of Nonjudicial Punishment Proceedings (Officer)*, (for ANG use AF Form 3070D, *Record of Nonjudicial Punishment Proceedings (Officer) – Air National Guard Only*) then a DAF Form 1058 is not required because the UIF is established with the AF Form 3070C/E alone.

3.2.2. Enlisted UIFs. The appropriate UIF authority refers optional documents (LOAs, LOCs, or LORs) to the offending member using a DAF Form 1058 before establishing a UIF. Do not use a DAF Form 1058 if an optional Article 15 is filed in the UIF. For mandatory documents (Article 15s with punishment exceeding 1 month [31 days or more], court-martial or civilian court convictions) the DAF Form 1058 does not need to be referred to the enlisted member for a response. If a member is issued an AF Form 3070A, *Record of Nonjudicial Punishment Proceedings (AB thru SSgt)*/AF Form 3070B, *Record on Nonjudicial Punishment Proceedings (TSgt thru CMSgt)*, then a DAF Form 1058 is not required because the UIF is established with the AF Form 3070A/B/D alone. **Note:** DAFI 36-2608, *Military Personnel Records Systems*, provides procedures for Senior Noncommissioned Officer Selection Record determinations for enlisted members who receive an LOR.

3.2.3. Notifying ARC Members Not in a Duty Status of UIF action. The appropriate UIF authority may refer documents they intend to file in the UIF to the member in person or by certified letter.

3.2.4. Members will be allocated 3 duty days to acknowledge the intended actions and provide pertinent information before the commander makes the final decision on placing optional documents in the UIF. **(T-1)** ARC members not in active duty status, who depart the duty area prior to the 3 duty days allowed for acknowledging intended actions, will have 45 calendar days from the date of receipt to acknowledge the notification, intended actions, and provide pertinent information before the issuing authority makes the final decision. **(T-1)** In calculating the time to respond, the date of receipt is not counted, and if the individual mails their acknowledgment, the date of the postmark on the envelope will serve as the date of acknowledgment. **(T-1)** The individual is presumed to be in receipt of official correspondence if it is delivered by certified mail to the individual's address or the address included in Defense Enrollment Eligibility Reporting System. **(T-1)**

3.2.4.1. The commander ensures the Airman or Guardian is notified of the final decision. **(T-1)** For ARC members not in a duty status, this may be accomplished in person or by certified mail.

3.2.4.2. The commander forwards copies of adverse administrative actions, LORs, DAF Forms 1137, *Unfavorable Information File Summary*, and DAF Form 1058:

3.2.4.2.1. For USSF and RegAF general officers and general officer selects to the Air Force or Space Force General Officer Management (SF/S1LG or AF/A1LG) at SF/S1LG or AF/A1LG, 1040 Air Force Pentagon, Washington, DC 20330-1140. **(T-1)**

3.2.4.2.2. For USSF and RegAF colonels and colonel selects to the Air Force or Space Force Colonel Management Office (SF/S1LO or AF/A1LO) at SF/S1LO or AF/A1LO, 1040 Air Force Pentagon, Washington, DC 20330-1140. **(T-1)**

- 3.2.4.2.3. For USSF and RegAF chief master sergeants (CMSgts) and CMSgt selects to the Chief Master Sergeant Management Office (SF/S1LE or AF/A1LE) at SF/S1LE or AF/A1LE, 1040 Air Force Pentagon, Washington, DC 20330-1140. **(T-1)**
- 3.2.4.2.4. For AFR general officers and general officer selects to AF/REG, 1150 Air Force Pentagon, Washington, DC 20330-1150. **(T-1)**
- 3.2.4.2.5. For AFR colonels and colonel selects to AF/REG, 1150 Air Force Pentagon, Washington, DC 20330-1150. **(T-1)**
- 3.2.4.2.6. For AFR CMSgts and CMSgt selects to AF/REG Senior Enlisted Management, 1150 Air Force Pentagon, Washington, DC 20330-1150. **(T-1)**
- 3.2.4.2.7. For ANG general officers and colonels with or pending a certificate of eligibility, send to NGB-SL, 111 South George Mason Drive, Arlington, VA 22204-1373. **(T-1)**
- 3.2.4.2.8. For ANG statutory tour members, send to the National Guard Bureau Human Resources Office (NGB/HR), 3501 Fetchet Ave, Joint Base Andrews, MD 20762-5157. **(T-1)**
- 3.2.4.2.9. For ANG members route documents to the applicable CSS or servicing FSS. **(T-1)**
- 3.2.4.3. For general officers and general officer selects. A commander's decision to place adverse information in a UIF is unrelated to the SAF's decision to place this information in a SOUIF. Commanders will forward copies of all adverse information to Secretary of the Air Force, Office of the Inspector General (SAF/IG), 1140 Air Force Pentagon, Washington D.C. 20330, in accordance with AFI 90-301. See [paragraph 3.11](#).
- 3.2.4.4. For officers in the grade of colonel and below: Send a copy of the initial and updated DAF Form 1137 to the officer's servicing and gaining MAJCOM/FLDCOM. Consult with the servicing Staff Judge Advocate to determine if the information should be forwarded to SAF/IGQ in accordance with AFI 90-301.
- 3.2.4.5. For all Individual Reservists, send a copy of the initial and any updated DAF Forms 1058 to the HQ RIO Detachment and Air Reserve Personnel Center, Total Force Services – Directorate of Personnel & Total Force Services (ARPC/DPT).
- 3.2.4.6. For Air Force Reservists in Personnel Accounting Symbol (PAS) S7XXXXXX (Non-participating, Stand-by and Inactive AFR status), send a copy of the initial and any updated DAF Forms 1058 to Air Reserve Personnel Center, Reserve Services Program, Directorate of Assignments, Reserve Service Programs (ARPC/DPAMR).

### **3.3. Processing Actions in Establishing or Maintaining a UIF.**

3.3.1. The Adverse Administrative Actions Manager will receive documents from the unit commander, base Staff Judge Advocate, Equal Opportunity Director or substance abuse clinic. **(T-1)** Some documents require mandatory establishment of a UIF while others are at the commander's discretion. Refer to [Table 3.2](#) to determine whether the UIF establishing authority is required to file the following documents in the member's UIF or whether filing the documents in the UIF is optional. If not already established, create a UIF folder and a DAF

Form 1137. Then, refer the documents to the servicing Office of the Staff Judge Advocate for a legal review (see [Attachment 3](#)). **(T-1)**

3.3.2. The commander ensures the UIF contains only substantiated unfavorable information about events that occurred. The Commander, Air Reserve Personnel Center (ARPC/CC) will be the establishing authority for Reservists in PAS S7XXXXXX (Non-participating, Stand-by and Inactive AFR status). **(T-1)**

3.3.3. If the UIF establishing authority decides not to file an administrative action in a UIF when issued, the UIF establishing authority may elect to file the administrative action in a UIF within 6 months of issuing the action. Within the six-month window, the UIF establishing authority may file the administrative action in an existing UIF, or in a newly established UIF. The decision must be properly documented in accordance with [paragraph 3.3.1](#) of this instruction. **(T-1)**

3.3.4. Mark the UIF folder with the individual's name on the front and CONTROLLED UNCLASSIFIED INFORMATION on the front and back. **(T-2)**

3.3.5. If the member is performing or selected for assignment to Personnel Reliability Program duties or is a student attending a Personnel Reliability Program-related course as defined by Department of Defense Manual (DoDM) 5210.42\_Air Force Manual (AFMAN) 13-501, *Nuclear Weapons Personnel Reliability Program*, mark the folder in the upper right hand corner reflecting the member's assignment to Personnel Reliability Program. The Personnel Reliability Program marking must be visible when the folder is closed and filed. **(T-2)**

3.3.6. Prepare a DAF Form 1137 for each UIF summarizing the incident, the disposition date, the commander's evaluation of the incident, and what administrative action was taken. Be specific. Ensure the commander, First Sergeant, or Unit Adverse Administrative Actions Manager sign each entry on the DAF Form 1137. **(T-1)**

3.3.7. Remove the DAF Form 1137 entry when a portion of the supporting documents are removed, as appropriate; the original DAF Form 1137 remains in the UIF. **(T-1)**

3.3.8. Coordinate and distribute the DAF Form 1137 as appropriate. When distributing a DAF Form 1137 for an Individual Reservist, forward one copy to the HQ RIO Detachment. For ANG members, distribute to the Military Personnel Management Office at the appropriate State Headquarters (see [Table 3.3](#)). **(T-1)**

3.3.9. Update MilPDS in accordance with [Table 3.2](#) when a commander establishes a UIF or adds or removes documents from a member's UIF. **(T-1)**

3.3.10. File any documents provided by the Airman or Guardian in response to an administrative action in the UIF. See [paragraph 2.4.4](#) for contents of a record. Article 15 supporting documentation, such as evidence or other written materials (with exception of the member's response to the Article 15 action) considered as a basis for imposing punishment; vacating a suspended punishment; or submitted by the member in mitigation, extenuation, defense or an appeal is not part of the record. **(T-1)** File these materials at the servicing Office of the Staff Judge Advocate of the commander who initiated the Article 15 as attachments to that office's copy of the action. **(T-1)**

### 3.4. Removal of UIFs.

3.4.1. The UIF and all of its contents are maintained until the final disposition date unless early removal of the UIF or document(s) is clearly warranted. UIF establishing authorities initiate removal action via DAF Form 1058 or memorandum, and notify the member. An officer's current wing or delta commander (or equivalent), or UIF establishing authority (if still in the member's chain-of-command), whichever is higher, may remove a UIF or individual entries. For enlisted members, the unit commander (or civilian equivalent) or higher authority may remove a UIF or individual entries.

3.4.2. UIF establishing authorities may initiate early removal action for adverse administrative actions in the following circumstances:

3.4.2.1. When nonjudicial punishment under Article 15 is set aside or a civilian conviction is overturned.

3.4.2.2. When the UIF establishing authority, after consulting with the servicing Staff Judge Advocate and reviewing the member's rebuttal, determines by a preponderance of the evidence that an enlisted member did not commit the offense listed in LOC, LOA, or LOR or the officer member did not commit the offense in a RIC or standalone LOC.

3.4.2.3. Upon completion of punishment resulting from a court-martial or Article 15. For courts-martial, only the court-martial convening authority may remove documents early. **(T-2)**

3.4.2.4. Officer UIFs may be removed early if the following document(s) used to establish the UIF are removed:

3.4.2.4.1. Court-Martial Order or Entry of Judgement. The removal authority is the member's current wing or delta commander (or equivalent) or convening authority, whichever is higher, and the punishment must be completed prior to early removal. **(T-1)**

3.4.2.4.2. Article 15. The removal authority is the member's current wing or delta commander (or equivalent) or imposing commander, whichever is higher, and the punishment must be completed prior to early removal. **(T-1)**

3.4.2.4.3. LOC, LOA, LOR, or control roster placement. The removal authority is the member's current wing or delta commander (or equivalent) or issuing authority, whichever is higher. **(T-1)**

3.4.2.4.4. UIF removal procedures outlined for officers in [paragraph 3.4.2.4](#) through [paragraph 3.4.2.4.3](#) do not affect items required to be placed in the officer selection record required by law. **(T-0)**

3.4.3. Early removal of all other UIF entries. Early removal is discretionary with the UIF establishing authority. Wing commanders, delta commanders, or issuing authorities can no longer direct removal of derogatory data from the OSR as previously permissible in this instruction and DAFI 36-2608. **(T-0)**

3.4.4. To remove the UIF or UIF documents prior to the final disposition date:

3.4.4.1. Destroy the UIF or UIF document and update MilPDS.

3.4.4.2. Sanitize the DAF Form 1137 by redacting, erasing, whitening or blacking out comments pertaining to the removed document. For Individual Reservists, forward a copy of DAF Form 1137 to the HQ RIO Detachment.

3.4.4.3. Coordinate the updated DAF Form 1137 in accordance with **Table 3.3** when other documents remain in the UIF.

3.4.4.4. File DAF Form 1058 in the digital file plan if no further documents exist in the UIF. Send a copy of the DAF Form 1058 to:

3.4.4.4.1. SF/S1LG (USSF) or AF/A1LG (RegAF) for general officers and general officer selects.

3.4.4.4.2. SF/S1LO (USSF) or AF/A1LO (RegAF) for colonels and colonel selects.

3.4.4.4.3. SF/S1LE (USSF) or AF/A1LE (RegAF) for CMSgts and CMSgt selects.

3.4.4.4.4. AF/REG for AFR general officers, colonels, and colonel selects.

3.4.4.4.5. AF/REG, Senior Enlisted Management, for AFR CMSgts and CMSgt selects.

3.4.4.4.6. The member's servicing FSS for Non-Extended Active Duty members.

3.4.4.4.7. ARPC/DPT for all Individual Reservists Lt Col and below.

3.4.4.4.8. NGB-SL for ANG general officers and colonels with or pending a certificate of eligibility.

3.4.4.4.9. NGB/HR for ANG statutory tour members.

3.4.4.5. For Reservists in PAS S7XXXXXX (non-participating, stand-by and inactive AFR status), send a copy of the DAF Form 1058 and adjusted DAF Form 1137 to ARPC/DPAMR.

3.4.4.6. For ANG Drill Status Guardsmen, send a copy of the DAF Form 1058 and adjusted DAF Form 1137 to the member's servicing FSS.

3.4.5. UIF establishing authorities are prohibited from removing any documents or adjusting disposition dates for Article 15 actions or courts-martial before punishment, sentence, judgment, or action is complete (For example: If an Article 15 punishment calls for 45 days extra duty or the punishment is suspended for 5 months, the Article 15 cannot be removed from an individual's UIF until the 45 days extra duty is performed or the suspension is complete or remitted). **(T-0)**

**3.5. Transferring and Disposing of UIFs.** When releasing UIF information through the mail do not use Standard Forms 65B or 65C, *U.S. Government Messenger Envelopes*. The Adverse Administrative Actions Manager marks the front and back of the envelope containing UIF information CONTROLLED UNCLASSIFIED INFORMATION. **(T-1)**

3.5.1. See **Table 3.3** for when and how to dispose of UIFs. Unexpired UIFs are transferred between the USSF, RegAF, ANG, and AFR components on all personnel (officer and enlisted) separating or transferring between the USSF, RegAF, AFR, or ANG member.



3.5.2. For Temporary Duty (TDY) en route to a Permanent Change of Station (PCS). The TDY commander sends a completed copy of DAF Forms 1058 and 1137 and the individual's PCS special orders to the gaining MPF after each UIF entry. If the individual's eligibility or suitability for the pending assignment is in doubt, the gaining commander contacts the MPF for guidance. If the individual is on TDY and will return to their permanent unit, the TDY commander sends the completed package to the individual's unit commander.

3.5.3. If the individual is absent without leave or in deserter status before or on the UIF expiration date, the UIF establishing authority notifies the Adverse Administrative Actions Manager in writing of the individual's status. The notification letter serves as a source document for MilPDS update. The Adverse Administrative Actions Manager retains the UIF and updates the UIF disposition date to "8 Aug 3888" (indefinite). Do not annotate the DAF Form 1137 (see [Table 3.3](#)). If the individual returns from Absent Without Leave or deserter status, the unit commander advises the Adverse Administrative Actions Manager to destroy the UIF or establishes a new UIF disposition date. This date is no more than 3 months from the date the commander signs the UIF notice advising the Adverse Administrative Actions Manager of the member's change in status. If a document is added to the UIF before the end of the 3 months, the UIF disposition date is extended. If a document is not added, the UIF is destroyed at the end of the 3 months. See [Table 3.3](#). (T-1)

3.5.4. The Adverse Administrative Actions Manager maintains the UIF for members in dropped-from-rolls status (see [Attachment 1](#)). When the UIF expires, the manager annotates the DAF Form 1137 to show the date the member entered dropped-from-rolls status, has it signed by the commander, and forwards it to the MPF. If the member is returned to duty at a later date, the unit commander advises the Adverse Administrative Actions Manager to destroy the UIF or establishes a new UIF disposition date. This date is no more than 3 months from the date the commander signs the UIF notice advising the Adverse Administrative Actions Manager of the member's change in status. If documentation of adverse action is added to the UIF before the end of the 3 months, the UIF disposition date is extended. If no other documents are added, the file is destroyed at the end of the 3 months. See [Table 3.3](#). (T-1)

### 3.6. Updating UIF Documents.

3.6.1. The Unit Adverse Administrative Actions Manager will update UIF codes 1, 2, and 3 in MilPDS. (T-1)

3.6.2. The MPF will manage the adverse administrative actions for units without a CSS. (T-1)

### 3.7. Accessing UIFs.

3.7.1. The Adverse Administrative Actions Manager ensures access to UIFs is limited to:

3.7.1.1. The member who has the UIF.

3.7.1.2. Individuals listed in [paragraph 3.1](#) reviewing UIFs on personnel assigned or attached to their command.



3.7.1.3. First Sergeants reviewing UIFs on enlisted members assigned or attached to their units.

3.7.1.4. Performance report rating officials, when preparing to write or endorse an Officer or Enlisted Performance Report, Promotion Recommendation Form, or recommending an enlisted member for reenlistment.

3.7.1.5. The senior Air Force or Space Force officer or commander of an Air Force or Space Force element in a joint command reviewing UIFs on individuals in the element.

3.7.1.6. The Air Force or Space Force element section commander in a joint command reviewing UIFs on enlisted personnel. The section commander must have written approval from the senior Air Force or Space Force officer or commander of the element to review UIFs on the element's officers. **(T-3)**

3.7.1.7. MPF personnel, inspector general, inspection team members, judge advocates, paralegals, Office of Special Investigations personnel, Security Forces, other investigators, Military Equal Opportunity personnel, and substance abuse counselors in the course of their official Air Force duties. These personnel must obtain authorization from the member's commander prior to accessing the UIF; this includes the legal office performing the annual review as stated in [paragraph 1.3.9](#). **(T-3)**

3.7.1.8. HQ RIO Detachment Commander for AFR Individual Reservists.

3.7.1.9. NGB/HR and the RegAF servicing FSS for ANG statutory tour members, NGB-SL for general officers and colonels with or pending a certificate of eligibility, and servicing FSS for all other ANG members.

3.7.2. SF/S1LG or AF/A1LG will resolve questions regarding access to UIFs on USSF or RegAF general officers and general officer selects. **(T-2)**

3.7.3. NGB-SL will resolve questions regarding access to UIFs on ANG general officers and colonels with or pending a certificate of eligibility. **(T-2)**

3.7.4. AF/REG will resolve questions regarding access to UIFs on AFR general officers, colonels in general officer billets, and colonels. **(T-2)**

3.7.5. AF/REG, Senior Enlisted Management will resolve questions regarding access to UIFs on AFR CMSgts and CMSgt selects. **(T-2)**

### **3.8. Reviewing UIFs.**

3.8.1. At a minimum, unit commanders, senior Air Force or Space Force officers or commanders of an Air Force or Space Force element in a joint command, will review all UIFs under their authority within 90 calendar days of their permanent assumption or appointment to command. **(T-2)** The commander will sign the review (see [Attachment 3](#)). **(T-2)** Geographically Separated Unit commanders use a computer listing of UIFs to acknowledge existence of UIFs. After the review is complete, the Geographically Separated Unit commander signs the list and files it in the general correspondence file. **(T-2)**

3.8.2. Review when individuals are considered for promotion, reenlistment, permanent change of station and/or assignment, retraining, reclassification, selective continuation or reenlistment, Personnel Reliability Program, or a specified period of time contract.

3.8.2.1. Review prior to completion of performance reports. **Note:** When an officer is convicted by a court-martial, comments are mandatory on the next Officer Performance Report (the Officer Performance Report must be a Referral Report) and the next Promotion Recommendation Form for below and in-the-promotion-zone consideration. **(T-1)** Comments on Officer Performance Reports and Enlisted Performance Reports relating to Article 15 punishment and control roster actions are strongly recommended for officers and senior non-commissioned officers, and must be considered in all cases. **(T-1)**

3.8.2.2. AFR or ANG: Review when considering applications for in-residence developmental education or short courses and all AFR assignments.

3.8.2.3. AFR or ANG: Review when considering a statutory tour assignment, AGR assignment, or when a member is being considered for an active duty tour exceeding 30 calendar days.

3.8.2.4. Review when considering an appointment or enlistment in a different component of the Air Force or Space Force.

**3.9. Commander's Management Roster.** Listings of personnel assigned within the unit possessing a quality indicator (e.g., UIF, control roster, Article 15). It also lists personnel possessing Enlisted Performance Report ratings which might indicate observation of their performance is warranted. The rosters can be retrieved for USSF or RegAF members from the Base Level Service Delivery Module dashboard and no certification or suspense of either roster is required. For ANG members, FSSs can utilize Command Human Resources Intelligence System or Oracle Business Intelligence Enterprise Edition® to retrieve pertinent listings. All entries should be reviewed to ensure appropriate action was taken. This includes determining appropriateness of an upcoming promotion, qualification for Personnel Reliability Program duties, assignment, reenlistment, etc., some of which are identified under the "projected for" area.

**3.10. Making Recommendations to the Commander.** The adverse action issuing authority (when not the commander or director), the Office of the Staff Judge Advocate, or the MPF Chief may recommend action to the commander. Use the DAF Form 1058 or memorandum and file it in the individual's UIF along with the commander's reply. DAF Form 1137 is not required to be annotated.

**3.11. UIFs on General Officers and General Officer Selects.** SF/S1LG, AF/A1LG, AF/REG and NGB-SL function as the sole Adverse Administrative Actions Manager and repository and maintain the original UIF. Copies of general officer and general officer select UIFs will not be maintained at or by any organization other than SF/S1LG for USSF officers, AF/A1LG for RegAF officers, AF/REG for AFR officers, or NGB-SL for ANG officers. **(T-1)** UIF information will not be updated in MilPDS for general officer or general officer selects. **(T-1)**

**Table 3.1. Adverse Administrative Actions Manager for AFR and ANG.**

<b>R</b>	<b>A</b>	<b>B</b>
<b>U</b>	<b>Reservist or Guardsman assigned to:</b>	<b>Adverse Administrative Actions Manager will be:</b>
<b>L</b>		
<b>E</b>		
<b>1</b>	PAS S7XXXXXX	ARPC/DPAMR
<b>2</b>	Selective Service, Ready Reinforcement Personnel Section, and Individual Reservist	ARPC/DPT, RegAF Force Management or HQ RIO Detachment Commander
<b>3</b>	Non-Affiliated Reserve Section	ARPC/DPAMR
<b>4</b>	Central Managers (Chaplain/Judge Advocate/Surgeon General)	Unit of Attachment
<b>5</b>	AGR	Unit of Assignment
<b>6</b>	AFR and ANG Unit	Unit of Assignment
<b>7</b>	MAJCOMs/FLDCOMs	Unit of Assignment
<b>8</b>	Air Force Elements	Unit of Assignment
<b>9</b>	Health Professionals Scholarship Program and Chaplain Candidates	ARPC/DPAMR
<b>10</b>	ANG Statutory Tour Program	NGB/HR
<b>11</b>	ANG General Officers	NGB-SL
<b>12</b>	201st Mission Support Squadron (ANG of the United States (ANGUS) members	Either unit of attachment for training or operational support if capable of supporting or member's Title 32 home station with consent of the unit commander. (see Note)

**Note:** 201 MSS delegates the establishment of UIFs for Title 10 adverse actions to the ANGUS member's home station when the action cannot otherwise be supported by the unit of attachment. Home station unit commander should approve the establishment of UIFs if due to a transfer request by a Title 10 Adverse Administrative Actions Manager at the conclusion of an ANGUS member's activation or if requested by a Title 10 commander for an adverse action against an ANGUS member on a Title 10 active duty operational support tour. Home station unit commanders will consult with the 201 MSS before denying a Title 10 commander's request to establish a UIF. **(T-1)**

**Table 3.2. Unfavorable Information File Establishment and Military Personnel Data System Update Procedures (Officer and Enlisted).**

Rule	Document	Received From	Code/Disposition <i>(Subtract 1 day on all disposition dates – with the exception of interim)</i>	Filing	Notes
1	<p><u>Article 15:</u> - Punishment or suspension 31 days or more - Suspended or unsuspended</p> <p><u>Court Martial Conviction</u></p> <p><u>Civilian Court Conviction:</u> - Foreign or Domestic - Includes pending charges with sentence of more than 1 year, or death)</p>	Office of the Staff Judge Advocate, Commander, and/or First Sergeant	<p>(INTERIM – Code 3)</p> <p>Date punishment/suspension is complete not to exceed:</p> <hr/> <p>Enlisted: 2 years from date sentence adjudged</p> <hr/> <p>Officers: 4 years from date sentence adjudged</p> <hr/> <p>(FINAL – Code 1)</p> <p>Upon completion of INTERIM and</p> <hr/> <p>Enlisted: 2 years from date <input type="checkbox"/> <input type="checkbox"/> Commander signs item 4 of AF Form 3070, <i>Record of Nonjudicial Punishment Proceedings</i> (Article 15 Only) <input type="checkbox"/> <input type="checkbox"/> Sentence adjudged</p> <hr/> <p>Officers: 4 years from date <input type="checkbox"/> <input type="checkbox"/> Commander signs item 4 of AF Form 3070 (Article 15 Only) <input type="checkbox"/> <input type="checkbox"/> Sentence adjudged</p>	Mandatory	1, 2, 3, 4, 5, 9
2	Control Roster	Commander	<p>(INTERIM – Code 2)</p> <p>6 months from date the commander signs Section V of DAF Form 1058</p> <hr/> <p>(FINAL – Code 1)</p> <p>Upon completion of INTERIM and 1 year from date the commander signs Section V of DAF Form 1058</p>	Mandatory	6, 9
3	Civilian Court Conviction:	Office of the Staff Judge Advocate,	<p>(FINAL – Code 1)</p> <p>Date punishment is due to be completed or 1 year from</p>	Mandatory	2

	<ul style="list-style-type: none"> <li>- Foreign or Domestic</li> <li>- Sentence of 1 year or less</li> </ul> <hr/> <p>Substantiated allegations of:</p> <hr/> <ul style="list-style-type: none"> <li>- Unlawful discrimination</li> <li>- Sexual harassment</li> </ul>	Commander and/or First Sergeant	approval of commander directed investigation, whichever is earlier.		
4	LOC/LOA/LOR	UIF establishing authority	<hr/> <p>(FINAL – Code 1)</p> <hr/> <p>Enlisted: 1 year from date the commander signs section V of DAF Form 1058</p> <hr/> <p>Officer: 2 years from date the commander signs section V of DAF Form 1058</p> <hr/>	Mandatory for Officer LOR	7, 8
5	Other documents containing adverse information not referred using a DAF Form 1058	UIF establishing authority	N/A	Optional	8

**Note:**

1. Also applies to Article 15 punishment imposed on an Air Force or Space Force member of a joint command by a commander of a different service when processed in accordance with DAFI 51-202. Includes any suspended punishment longer than a month (31 days or more). Air Force Reserve Command (AFRC), ARPC, HQ RIO or The Adjutant General may establish longer observation periods, not to exceed 12 months for AFR or ANG personnel, if deemed appropriate.

2. If mandatory for file, the Staff Judge Advocate sends the record and determination directly to the servicing CSS (or MPF in lieu of) with a copy to the member’s commander for action.

3. If the Article 15 punishment is a Reprimand only, then INTERIM disposition does not apply. Update record in accordance with FINAL disposition.

4. A record of suspended punishment under Article 15, or unsuspended Article 15 punishment, which is 30 days or less. Commanders may establish UIF in the same manner as rule 1.

5. UIFs are mandatory for officers who receive punishment under Article 15, which is noted in item 9 of the AF Form 3070C, *Record of Nonjudicial Punishment Proceedings (Officer)*. This is true regardless of whether or not the punishment is suspended.

6. HQ AFRC, ARPC, HQ RIO, or The Adjutant General may establish longer observation periods, not to exceed 12 months for AFR or ANG personnel, if deemed appropriate.

7. If there are more than 14 calendar days between the date the UIF establishing authority signed the DAF Form 1058 and the date of the LOR/LOA/LOC, annotate the discrepancy in a Memorandum for Record and use the date of the LOR/LOA/LOC when determining the disposition date. File the Memorandum For Record in the UIF with the DAF Form 1058.

8. If it is not mandatory to establish a UIF, the UIF establishing authority still has the discretion and authority to establish a UIF with the instrument or record in question.

9. Subtraction of one day does not apply to interim dates.

**Table 3.3. Annotating and Disposing of UIF Summary (DAF Form 1137).**

<b>Rule</b>	<b>If Adverse Admin Actions Manager receives</b>	<b>Then the manager</b>	<b>And</b>	<b>And</b>
1	Documents for file in the UIF according to <b>Table 3.2.</b>	Prepares or updates the UIF summary to record and summarize the incident, files the document in the UIF, and updates system	Gives copy of DAF Form 1137 to member's unit UIF establishing authority. When necessary, coordinate with Personnel Reliability Program monitor and send a copy of initial and updated DAF Form 1137 to officers' servicing/gaining A1 or S1 (For unit Reservist to Air Force Reserve Command and for individual Reservist to the HQ RIO Detachment). For ANG send to the member's servicing CSS or FSS	Sends an additional copy of DAF Form 1137 to the appropriate office for medical, dental, chaplain, legal officers in the grade of colonel and colonel select (for AFR and ANG officers in the grade of colonel and colonel select, see <b>paragraph 3.2.4.2.3 and 3.2.4.2.6</b> ). Send an additional copy to the appropriate state office (State Headquarters/Military Personnel Management Office)

Rule	If Adverse Admin Actions Manager receives	Then the manager	And	And
2	An approved or pending involuntary separation action, including an approved period of probation and rehabilitation under DAFI 36-3211, <i>Military Separations</i> . For AFR and ANG personnel reference DAFI 36-3211.	Annotates the original DAF Form 1137 Involuntary Discharge initiated under DAFI 36-3211, <i>Military Separations</i> . For AFR and ANG personnel: probation of member with lengthy service granted under DAFI 36-3211 (see <b>note 1</b> )	Gives copy of DAF Form 1137 to member's unit UIF establishing authority. When necessary, coordinate with Personnel Reliability Program monitor and send a copy of initial and updated DAF Form 1137 to officers' servicing/gaining A1 or S1 (For unit Reservist to Air Force Reserve Command and for individual Reservist to the HQ RIO Detachment). For ANG send to the member's servicing CSS or FSS	Sends an additional copy of DAF Form 1137 to the appropriate office as noted in <b>paragraph 3.2.4.2</b> (for AFR and ANG officers in the grade of colonel and colonel select, see <b>paragraph 3.2.4.2.3 and 3.2.4.2.6</b> ). Send an additional copy to the appropriate state office (State Headquarters/Military Personnel Management Office)
3	A notice to send a copy of a DAF Form 1137 for pending PCS (see <b>notes 2,3, and 11</b> )	Mails two copies of the notice and DAF Form 1137 to the gaining unit	The gaining FSS sends a copy of notice & DAF Form 1137 to the gaining CC. The remaining copies are retained in FSS pending receipt of the UIF	The gaining commander reviews the DAF Form 1137 and files
4	A notice to send a copy of a DAF Form 1137 for member being	Sends notice with a copy of the member's DAF Form 1137 to the	The gaining CC reviews the DAF Form 1137 and files it at the unit	



<b>Rule</b>	<b>If Adverse Admin Actions Manager receives</b>	<b>Then the manager</b>	<b>And</b>	<b>And</b>
	reassigned Permanent Change of Assignment (PCA) (see <b>notes 4 and 11</b> )	gaining commander		
5	A notice to send a UIF when MilPDS confirms PCS departure (see <b>notes 5,6, and 7</b> )	Sends notice with the UIF to the gaining unit/FSS (for Individual Reservist send to unit of assignment)	The gaining unit /FSS, reviews the UIF for accuracy, verifies data system, and makes required corrections, files the UIF	Contacts the losing unit/FSS when documentation is not complete
6	A Transaction Register notice that a member's UIF has expired	Verifies the accuracy of the disposition date, makes required changes	Determines there is no information that extends the UIF disposition date	Destroys the UIF
7	A Transaction Register notice that a member's UIF has expired	Verifies the accuracy of the disposition date, makes required changes	Discovers new information that extends the UIF disposition date	Retains the UIF for 10 duty days pending receipt of the new information, or adds the new information and updates UIF data
8	A notice when a member enters dropped-from-the-rolls status (see <b>note 8</b> )	Gives UIF to FSS/Force Management personnel	Force Management personnel places the documents in Automated Records Management System and forwards to AFPC	AFPC destroys UIF if duty status changing to deceased
9	A notice that a <u>member in dropped-from-the-rolls status has been returned to duty</u> (see <b>note 8</b> )	Contacts <u>FSS/Force Management</u> and requests UIF	FSS/Force Management coordinates the return of the UIF	AFPC returns UIF
10	A UIF or UIF document on a person not	Verifies the member's status (TDY, TDY en	Returns the documents to the	

Rule	If Adverse Admin Actions Manager receives	Then the manager	And	And
	serviced now or in the foreseeable future by the FSS (see <b>note 9</b> )	route, PCS, pending assignment canceled or changed, or the documents misrouted)	appropriate FSS or the member's last unit of assignment for processing	
11	A notice that a member is within 120 days of Report No Later than Date (see <b>note 11</b> )	Verifies receipt of the DAF Form 1137	Requests that the losing unit or MPF, forward copies of DAF Form 1137 according to rule 3, if they do not arrive as expected	
12	A notice that a member is in confinement as a result of court-martial punishment (see <b>note 10</b> )		CSS or servicing FSS retains UIF with the following exceptions: For Individual Reservists, Selective Service forward UIF to HQ RIO Detachment; for personnel assigned to S7/S8 PAS Codes, forward to ARPC at ARPC/DPAMR 18420 E Silver Creek Ave, Bldg 390 MS 68, Buckley Space Force Base, CO 80011	
13	A notice that a member is in appellate leave status	Scans UIF with Field Personnel Record Group	FSS/Force Management retains	On receipt of decision by appellate authority, disposes of UIF accordingly

Rule	If Adverse Admin Actions Manager receives	Then the manager	And	And
		awaiting appellate decision	UIF in Automated Record Management System	
14	A notice that a member is in Absent Without Leave or deserter status and UIF is due to expire	Verifies that the member is in Absent Without Leave or deserter status and that the UIF expiration date is accurate	When appropriate, updates UIF expiration date to 8 Aug 3888 and files notice in UIF (see <b>paragraph 3.5.3</b> )	Retains UIF awaiting further information
15	A notice that an officer is being separated and transferred to the AFR	Forwards the UIF to the appropriate Adverse Administrative Actions Manager of new assignment (reference <b>Table 3.1</b> )		
16	A notice that a member is released from an AFR or ANG unit and transferred to AFPC <u>nonparticipating</u> status (officer or enlisted)	Forward the UIF to ARPC/ DPAMR, 18420 E. Silver Creek Ave, Bldg 390 MS 68, Buckley Space Force Base, CO 80011		
17	A notice that a regular officer is discharged (not for cause) and records are being sent to ARPC	Forwards the UIF to ARPC/ DPAMR, 18420 E. Silver Creek Ave, Bldg 390 MS 68, Buckley Space Force Base, CO 80011		
18	A notice that an AFR or ANG officer is discharged	Destroys the UIF		

Rule	If Adverse Admin Actions Manager receives	Then the manager	And	And
	(without immediate reentry or continuation on active duty) or dies			
19	A notice that an enlisted member is separated or dies	Destroys the UIF		
20	A notice that a member is reassigned to the retired AFR	Destroys the UIF		
21	A notice that a member (officer or enlisted) is discharged for cause)	Destroys the UIF		
22	A notice that a member is being transferred from <u>non-participating (S7XXXXXX) status to participating assignment</u>	Forwards UIF to the gaining United States AFR assignment		
23	A notice that an AFR or ANG member (officer or enlisted) is being <u>reassigned within the United States AFR or ANG</u>	Forwards the UIF to the gaining AFRC or ANG assignment		
24	A notice that an officer of the United States AFR is being <u>transferred to active duty</u>	Forwards the UIF to the gaining RegAF unit		

**Note:**

1. This is a DAF Form 1137 annotation only. Commanders do not have to maintain documentation in the UIF.

Rule	If Adverse Admin Actions Manager receives	Then the manager	And	And
<p>2. The data system generates a transmittal notice for the DAF Form 1137 before the Report No Later than Date when a member's end assignment changes, and on short-notice assignments if the member is within 120 days of Report No Later than Date. When a member's end assignment changes or is canceled, MilPDS generates a Transaction Register remark, and the gaining unit destroys the advance copies of the DAF Form 1137.</p> <p>3. Keep a copy of the advance transmittal notice in the UIF folder until mailed. Destroy it afterwards.</p> <p>4. If the member is projected for a Personnel Reliability Program position, coordinate UIFs on gains with the unit Personnel Reliability Program monitor.</p> <p>5. File retained transmittal notices in the general correspondence file.</p> <p>6. Before sending the UIF electronically (encrypted), ensure its contents are complete and accurately recorded. Sign and date the DAF Form 1137 (reviewer's remarks, signature, date), certifying that you have reviewed it. Do not send electronically until you receive MilPDS confirmation.</p> <p>7. MilPDS produces a notice once it confirms a member's reassignment. Remove the pencil entries on the DAF Form 1137 before scanning and sending the UIF to the new unit.</p> <p>8. When a member enters or returns from dropped-from-the-rolls status, code or decode the UIF disposition.</p> <p>9. To determine the member's status, consult the worldwide personnel listing, CSS, MPF, or the losing Adverse Actions office.</p> <p>10. Upon receipt, before giving a UIF to the FSS, HQ RIO Organization or ARPC/DPAMR, the Adverse Administrative Actions Manager:</p> <p>10.1. Signs and dates the DAF Form 1137 (reviewer's remarks, signature, date), certifying he or she reviewed it.</p> <p>10.2. For a member reassigned as a prisoner (confinement) with or without discharge because of a court-martial conviction, the Adverse Administrative Actions Manager records the court-martial conviction on DAF Form 1137 and includes it in the UIF before forwarding the UIF to the Personnel Employment Element. For ANG only: the UIF will not be forwarded as the servicing FSS retains the UIF. <b>(T-1)</b></p> <p>10.3. Delays forwarding and takes timely follow-up action pending receipt of the court-martial conviction and then gives the MPF the UIF for placement in Personnel Records Display Application.</p> <p>11. Does not apply to the AFR.</p>				
<b>Note:</b>				
1. This is a DAF Form 1137 annotation only. Commanders do not have to maintain documentation in the UIF.				
2. The data system generates a transmittal notice for the DAF Form 1137 before the Report No Later than Date when a member's end assignment changes, and on short-notice assignments if the member is within 120 days of Report No Later than Date. When a member's end assignment changes or is canceled, MilPDS generates a Transaction Register remark, and the gaining unit destroys the advance copies of the DAF Form 1137.				
3. Keep a copy of the advance transmittal notice in the UIF folder until mailed. Destroy it afterwards.				
4. If the member is projected for a Personnel Reliability Program position, coordinate UIFs on gains with the unit Personnel Reliability Program monitor.				

Rule	If Adverse Admin Actions Manager receives	Then the manager	And	And
5. File retained transmittal notices in the general correspondence file.				
6. Before sending the UIF electronically (encrypted), ensure its contents are complete and accurately recorded. Sign and date the DAF Form 1137 (reviewer's remarks, signature, date), certifying that you have reviewed it. Do not send electronically until you receive MilPDS confirmation.				
7. MilPDS produces a notice once it confirms a member's reassignment. Remove the pencil entries on the DAF Form 1137 before scanning and sending the UIF to the new unit.				
8. When a member enters or returns from dropped-from-the-rolls status, code or decode the UIF disposition.				
9. To determine the member's status, consult the worldwide personnel listing, CSS, MPF, or the losing Adverse Actions office.				
10. Upon receipt, before giving a UIF to the FSS, HQ RIO Organization or ARPC/DPAMR, the Adverse Administrative Actions Manager:				
10.1. Signs and dates the DAF Form 1137 (reviewer's remarks, signature, date), certifying he or she reviewed it.				
10.2. For a member reassigned as a prisoner (confinement) with or without discharge because of a court-martial conviction, the Adverse Administrative Actions Manager records the court-martial conviction on DAF Form 1137 and includes it in the UIF before forwarding the UIF to the Personnel Employment Element. For ANG only: the UIF will not be forwarded as the servicing FSS retains the UIF. <b>(T-1)</b>				
10.3. Delays forwarding and takes timely follow-up action pending receipt of the court-martial conviction and then gives the MPF the UIF for placement in Personnel Records Display Application.				
11. Does not apply to the AFR.				

## Chapter 4

### CONTROL ROSTER

**4.1. Who Uses the Control Roster.** Commanders (or civilian equivalents) use the control roster to establish a six-month observation period. AFRC, ARPC, HQ RIO, or TAG may establish longer observation periods, not to exceed twelve-months, for Reserve and Guard personnel, if deemed appropriate.

**4.2. Use of a Control Roster.** Consideration should be given to prior incidents, acts, failures, counseling, and rehabilitative efforts. A brief incident of substandard performance or an isolated breach of standards, not likely to be repeated, should not usually result in an individual's placement on the control roster. UIF establishing authorities inform members on the control roster their performance and behavior must improve or they may face more severe administrative action or punishment. **(T-1)**

4.2.1. Commanders may direct an Officer Performance Report or Enlisted Performance Report before entering or removing an individual from the control roster. The UIF establishing authority must periodically counsel personnel on the control roster about their improvement or failure to improve. **(T-2)**

4.2.2. When placing an officer on the control roster who is eligible or selected for promotion, or a selective continuation appointment, a commander must decide if the officer is mentally, physically, morally, and professionally qualified to assume the higher grade. **(T-1)** If not, initiate action to find the officer not qualified, delay the projected promotion, or remove the officer from the list (see DAFI 36-2501 or AFI 36-2504). **(T-1)**

4.2.3. The UIF establishing authority should not place an individual on the control roster as a substitute for more appropriate administrative, judicial, or nonjudicial action. Placement on the control roster does not shield an individual from other appropriate actions.

4.2.4. The control roster is cleared at 2359 hours on the last day of the observation period or on the date a member separates, retires, or dies. UIF establishing authorities may take subsequent control roster action only if a new incident or failure occurs. **(T-1)**

4.2.5. PCS or PCA reassignment procedures for personnel on the control roster are:

4.2.5.1. If the member is put on the control roster, and will leave an overseas area before the end of the observation period, and is otherwise eligible, the commander may extend the member's Date Eligible to Return from Overseas a maximum of 120 days (long tour area only). A commander who decides to involuntarily extend the Date Eligible to Return from Overseas should advise the MPF as soon as possible.

4.2.5.2. If the PCS is mandatory (does not include PCS without PCA for separation processing), the losing base Adverse Administrative Actions Manager removes the UIF code 2. The manager then notes on the individual's DAF Form 1137 that "control roster action expired due to mandatory Permanent Change of Station" before transferring the UIF to the gaining unit. Control roster action expires on the date the member departs. **Note:** This does not apply to unit moves when the member retains the same leadership.

4.2.5.3. If the PCS is not mandatory, the person remains eligible for PCS if the observation period expires before the Report No Later Than Date. The UIF establishing authority

advises the MPF of the person's control roster status; the MPF notifies AFPC. AFPC determines the individual's assignment eligibility (DAFI 36-2110, *Total Force Assignments*).

4.2.5.4. For AFR assignments, individuals remain eligible for permanent change of station while on the control roster. The losing commander, or equivalent advises the MPF (for unit program) or the losing HQ RIO Detachment Commander (for the Individual Reservist program) by indicating on the AF Form 1288, *Application for Ready Reserve Assignment*, that the member is on the control roster and annotating the UIF disposition date in the remarks section. After reviewing the AF Form 1288, the gaining unit commander (in coordination with the HQ RIO Detachment Commander) will decide if the assignment is appropriate and will approve or disapprove the assignment. **(T-1)**

4.2.5.4.1. Delay PCA when an individual is on the control roster. If the PCA is essential to the mission and the losing and gaining commanders concur, the person may be reassigned, but remains on the control roster.

4.2.5.4.2. For colonel and colonel select AFR assignments, do not use the AF Form 1288. For colonel and colonel select assignments, the member annotates they are on a control roster on the application coversheet. AF/REG will process the application and annotate control roster status during approval process and provide to hiring authority during selection process. **(T-1)**

4.2.5.5. For ANG statutory tour Assignments, individuals will not normally be eligible for PCS/PCA while on the control roster. **(T-3)** Any requests for PCS/PCA movement while members are on a control roster should be forwarded to NGB/HR for staffing to the appropriate approval authority.

4.2.5.6. For ANG members in Title 32 assignments, individuals remain eligible for PCS while on the control roster. The losing commander advises the MPF Career Development section (for unit program) or the gaining unit by indicating on the AF Form 1288, that the member is on the control roster and annotating the UIF disposition date in the remarks section. After reviewing the AF Form 1288, the gaining commander will decide if the assignment is appropriate and will approve or disapprove the assignment. **(T-3)**

4.2.6. For USSF, RegAF, AFR, and ANG, the commander, or equivalent cancels all formal training for members during the period they are on the control roster. **(T-1)**

4.2.7. The commander, or equivalent may not put individuals on the control roster who are in TDY or PCS status, to include en route.

4.2.8. An individual's time on the control roster does not stop and start for periods of TDY, ordinary leave, or change in immediate supervisor. For example, if an individual on the control roster requests 2 weeks leave, the control roster time does not stop for the 2 weeks the individual is on leave and then start again. The six-months is continuous regardless of the individual's leave or TDY status.

**4.3. Initiating and Maintaining the Control Roster.** The Adverse Administrative Actions Manager shall retrieve and maintain the control roster for the commander. **(T-1)** Commanders will use the DAF Form 1058 to initiate control roster action. **(T-1)** USSF and RegAF members must acknowledge the action and have 3 duty days to submit a statement on their behalf before the DAF



Form 1058 is finalized. **(T-2)** If the member refuses or fails to acknowledge the action, the commander will sign the DAF Form 1058 indicating, "Member refused to acknowledge." **(T-2)** The commander advises the individual of their final decision. File any statement or document provided by the individual in response to the control roster in the UIF. Additional materials submitted by the member in mitigation, extenuation, or defense are not part of the record. Evidence and any other written materials considered as a basis for imposing the control roster are not part of the record. Placement on the control roster is a mandatory UIF entry. **(T-2)**

4.3.1. An ARC member not in a duty status, who departs the duty area prior to the 3 duty days allowed for acknowledging intended actions, has 45 calendar days from the date of receipt of the certified letter to acknowledge the notification, intended actions, and provide pertinent information before the commander makes the final decision. In calculating the time to respond, the date of receipt is not counted, and if the individual mails their acknowledgment, the date of the postmark on the envelope serves as the date of acknowledgment. The individual is presumed to be in receipt of official correspondence if it is hand delivered or delivered by certified mail to the individual's address or best available address.

4.3.2. A control roster will not be created or maintained on members assigned to the non-participating AFR status (PAS S7XXXXXX). **(T-1)**

**4.4. Who Can Add or Remove Individuals to or from the Control Roster.** The below individuals have authority to add or remove any assigned or attached officer and enlisted member to or from the control roster. Upon receipt of a DAF Form 1058, or memorandum signed by the member's current wing or delta commander (or equivalent) or issuing authority, whichever is higher, remove member from control roster. This removal option applies regardless of how long the action was on file.

4.4.1. Commanders on G-series orders at all levels for members assigned or attached to their units. Commanders must be senior to the member. **(T-1)** Qualified civilian directors may also add or remove individuals to or from control rosters.

4.4.2. The commander, deputy commander, staff director and directors at MAJCOM/FLDCOM, Field Operating Agencies, and Direct Reporting Units.

4.4.3. Chief of Staff, Chief of Space Operations, Deputy Chiefs of Staff, Deputy Chief of Space Operations, Assistant Chiefs of Staff, other heads of staff agencies, and Directors assigned to Headquarters, United States Air and Space Forces.

4.4.4. Comparable officers within the Office of the SAF, Office of the Joint Chiefs of Staff, and Office of the Secretary of Defense.

4.4.5. The senior Air Force or Space Force officer assigned to a joint command.

4.4.6. The HQ RIO Detachment Commanders for Civil Air Patrol Reserve Assistance Program and the Admissions Liaison Officer Program.

## Chapter 5

### REPORTING DEMOGRAPHIC INFORMATION ON ADVERSE ADMINISTRATIVE ACTIONS AGAINST SERVICE MEMBERS

#### 5.1. Issuers of Administrative Action. Any individual who issues a LOC, LOA, or LOR will:

5.1.1. Ensure the recipient acknowledges the final disposition of the action. **(T-1)** Once the recipient acknowledges receipt of the final disposition, the record will become a finalized record. **(T-1)** Annotate on the administrative action if the member fails to acknowledge receipt or provide a response.

5.1.2. Within three (3) duty days of completion, route the finalized record and supporting documentation to the immediate commander of the person issuing the administrative action. **(T-1)** Members of the Air Force Reserve will provide this information at the end of regularly scheduled drills. **(T-1)** When the recipient is an ANG member, an electronic copy of the finalized record, supporting documentation, and issuer's required demographic information will be provided within five (5) duty days as follows: to the appropriate Air National Guard Readiness Center Commander (ANGRC/CC) if the recipient is on a Title 10 statutory tour order; to the 201 MSS/CC if the recipient is on Title 10 orders and assigned to the 201 MSS for administrative control; to the wing or delta commander at the recipient's home station if the Airman is in a Title 32 status. **(T-1)**

#### 5.2. Commander's Responsibilities.

5.2.1. Upon receipt of the finalized record, the issuer's immediate commander will review the rank, age, gender, race, and ethnicity of both the issuer and the recipient as it is listed in their official DAF record. **(T-1)**

5.2.2. The issuer's commander will provide the required data to their servicing Office of the Staff Judge Advocate (SJA) within five (5) duty days for USSF and RegAF, within five (5) days of the end of the calendar year quarter for members of the Air Force Reserve, and in accordance with [paragraph 5.1.2](#) for the ANG. Commanders will coordinate with their servicing SJA to determine the method of providing the information. **(T-1)** The data provided to the servicing SJA will include:

5.2.2.1. Type of administrative action issued, final administrative action, underlying offense(s), rank, age, gender, race, and ethnicity of issuer. **(T-1)**

5.2.2.2. Type of administrative action issued, number of prior administrative actions received, underlying offense(s), final administrative action, rank, age, gender, race, and ethnicity of recipient. **(T-1)**

5.2.3. The requirement to provide data to the servicing SJA is triggered based on when the administrative action becomes a finalized record in accordance with [paragraph 5.1.1](#). Commanders will report the required demographic information regardless of whether the action is later rescinded, downgraded, or not otherwise filed in the recipient's PIF or UIF. **(T-1)** The requirement is not triggered if the Administrative action is fully withdrawn during the Administrative Action process.

5.2.4. When the recipient is an ANG member, the issuer or issuer's commander will send the required information to the appropriate ANG commander identified in **paragraph 5.1.2. (T-1)**

5.2.5. Commanders should contact their servicing SJA's office for implementation guidance.

5.2.6. The Automated Military Justice Analysis and Management System will not be used to report Administrative Action demographic information pursuant to this Chapter. **(T-1)**

### **5.3. The Staff Judge Advocate (SJA) Responsibilities.**

5.3.1. The servicing SJA will ensure demographic information for completed administrative actions is reported in accordance with this instruction. **(T-1)** The servicing SJA will inform commanders how to submit the demographic information to their office. **(T-1)**

5.3.2. The SJA should refer to DAFI 51-201, *Administration of Military Justice*, for status of discipline briefing requirements.

JOHN A. FEDRIGO  
Principal Deputy Assistant Secretary of the Air  
Force  
(Manpower and Reserve Affairs)

**Attachment 1****GLOSSARY OF REFERENCES AND SUPPORTING INFORMATION*****References***

5 USC § 552a, *Records Maintained on Individuals*

10 USC § 615, *Information Furnished to Selection Boards*

10 USC § 9013, *Secretary of the Air Force*

10 USC § 12301, *Reserve components generally*

10 USC § 14107, *Information furnished by the Secretary concerned to promotion boards*

DoDI 5400.11, *DoD Privacy and Civil Liberties Programs*, 29 January 2019

DoDI 1320.04, *Military Officer Actions Requiring Presidential, Secretary of Defense, or Under Secretary of Defense for Personnel and Readiness Approval or Senate Confirmation*, 3 January 2014

DoDI 1320.14, *DoD Commissioned Officer Promotion Program Procedures*, 16 December 2020

DoDM 5210.42\_AFMAN 13-501, *Nuclear Weapons Personnel Reliability Program*, 19 September 2018

AFPD 36-29, *Military Standards*, 11 April 2019

AFI 33-322, *Records Management and Information Governance Program*, 28 July 2021

DAFI 36-2110, *Total Force Assignments*, 02 August 2021

DAFI 36-2406, *Officer and Enlisted Evaluations Systems*, 14 November 2019

DAFI 36-2501, *Officer Promotions and Selective Continuation*, 16 July 2004

AFI 36-2504, *Officer Promotion, Continuation and Selective Early Removal in the Reserve of the Air Force*, 9 January 2003

DAFI 36-2608, *Military Personnel Records Systems*, 16 April 2021

DAFI 51-201, *Administration of Military Justice*, 14 April 2022

DAFI 51-202, *Nonjudicial Punishment*, 4 January 2022

AFI 51-509, *Appointment to and Assumption of Command*, 14 January 2019

DAFMAN 90-161, *Publishing Processes and Procedures*, 15 April 2022

AFI 90-301, *Inspector General Complaints Resolution*, 28 December 2018

ANGI 36-2505, *Federal Recognition Examining Boards for Appointment or Promotion in the ANG Below General Officer*, 26 November 2012

***Prescribed Forms***

DAF Form 174, *Record of Individual Counseling*

DAF Form 1058, *Unfavorable Information File Actions*

DAF Form 1137, *Unfavorable Information File Summary*

***Adopted Forms***

DAF Form 847, *Recommendation for Change of Publication*

AF Form 1288, *Application for Ready Reserve Assignment*

AF Form 3070A, *Record of Nonjudicial Punishment Proceedings (AB thru SSgt)*

AF Form 3070B, *Record of Nonjudicial Punishment Proceedings (TSgt thru CMSgt)*

AF Form 3070C, *Record of Nonjudicial Punishment Proceedings (Officer)*

AF Form 3070D, *Record of Nonjudicial Punishment Proceedings (TSgt thru CMSgt) – Air National Guard Only*

AF Form 3070E, *Record of Nonjudicial Punishment Proceedings (Officer) – Air National Guard Only*

Standard Form 65B, *U.S. Government Messenger Envelope – Medium*

Standard Form 65C, *U.S. Government Messenger Envelope – Large*

***Abbreviations and Acronyms***

**AF**—Air Force

**AFBCMR**—Air Force Board for Correction of Military Records

**AFI**—Air Force Instruction

**AFMAN**—Air Force Manual

**AFPC**—Air Force Personnel Center

**AFPD**—Air Force Policy Directive

**AFR**—Air Force Reserve

**AFRC**—Air Force Reserve Command

**AGR**—Active Guard and Reserve

**AIS**—Adverse Information Summary

**ANG**—Air National Guard

**ANGI**—Air National Guard Instruction

**ANGUS**—Air National Guard of the United States

**ARC**—Air Reserve Component

**ARPC**—Air Reserve Personnel Center

**CGO**—Company Grade Officer

**CMSgt**—Chief Master Sergeant

**CSS**—Commander's Support Staff

**DAF**—Department of the Air Force  
**DAFI**—Department of the Air Force Instruction  
**DoD**—Department of Defense  
**DoDI**—Department of Defense Instruction  
**DoDM**—Department of Defense Manual  
**FLDCOM**—Field Command  
**FSS**—Force Support Squadron  
**HQ RIO**—Headquarters Individual Reservist Readiness & Integration Organization  
**LOA**—Letter of Admonishment  
**LOC**—Letter of Counseling  
**LOR**—Letter of Reprimand  
**MAJCOM**—Major Command  
**MFR**—Memorandum For Record  
**MilPDS**—Military Personnel Display System  
**MperRGp**—Master Personnel Records Group  
**MPF**—Military Personnel Flight  
**NGB**—National Guard Bureau  
**OPR**—Office of Primary Responsibility  
**OSI**—Office of Special Investigations  
**OSR**—Officer Selection Record  
**PAS**—Personnel Accounting Symbol  
**PCA**—Permanent Change of Assignment  
**PCS**—Permanent Change of Station  
**PIF**—Personnel Information File  
**RegAF**—Regular Air Force  
**RIC**—Record of Individual Counseling  
**SAF**—Secretary of the Air Force  
**SOUIF**—Senior Officer Unfavorable Information File  
**TAG**—The Adjutant General  
**TDY**—Temporary Duty  
**TR**—Transaction register  
**UIF**—Unfavorable Information File

US—United States

USC—United States Code

USSF—United States Space Force

*Office Symbols*

**AF/A1**—Deputy Chief of Staff for Manpower, Personnel, and Services

**AF/A1LE**—Chief Master Sergeant Management Office

**AF/A1LG**—Air Force General Officer Management

**AF/A1LO**—Air Force Colonel Management Office

**AF/A1P**—Air Force Directorate of Military Force Management Policy

**AF/RE**—Chief of the Air Force Reserve

**AF/REG**—AFR Senior Leader Management

**ANGRC/CC**—Air National Guard Readiness Center Commander

**ARPC/CC**—Commander, Air Reserve Personnel Center

**ARPC/DPT**—Air Reserve Personnel Center, Total Force Services – Directorate of Personnel & Total Force Services

**ARPC/DPAMR**—Air Reserve Personnel Center, Reserve Services Program, Directorate of Assignments, Reserve Service Programs

**NGB/CF**—Director of the Air National Guard

**NGB/HR**—National Guard Bureau Human Resources Office

**NGB-SL**—National Guard Bureau Senior Leader Management Office

**SAF/IG**—Secretary of the Air Force, Office of the Inspector General

**SAF/IGQ**—Secretary of the Air Force, Office of the Inspector General, Complaints Resolution Directorate

**SAF/IGS**—Secretary of the Air Force, Office of the Inspector General, Senior Official Inquiries

**SAF/MR**—Assistant Secretary of the Air Force, Manpower and Reserve Affairs

**SF/S1**—Deputy Chief of Space Operations for Personnel

**SF/S1LE**—Chief Master Sergeant Management Office

**SF/S1LG**—Space Force General Officer Management

**SF/S1LO**—Space Force Colonel Management Office

**SF/S1P**—Space Force Force Management Policy

*Terms*

**Absent Without Authority/Leave**—Consider member absent without authority if the member is voluntarily absent without leave for more than 24 hours, or was voluntarily absent from a

scheduled duty or formation, a restriction, or an arrest. Scheduled duty or formation means doing a specified task at a specified time and place for a specified purpose. It is not the same as regularly scheduled duty. Consider the member absent without authority if not excused and absent from duty in civil confinement for more than 24 hours. The term absent without authority means the same as unauthorized absence.

**Active duty**—Full-time duty in the active military service of the United States, including active duty or full-time training duty in the Reserve Component. The term active duty for a period of more than 30 days means active duty under a call or order that does not specify a period of 30 days or less.

**Active Guard and Reserve (AGR) Duty**—Active Duty performed by a member of the Reserve Component of the Air Force or full-time National Guard for a period of 180 consecutive days or more for organizing, administering, recruiting, instructing, or training the Reserve components.

**Air Reserve Component**—The component of the United States Air Force that includes the AFR and ANG.

**Airmen**—Air Force Airmen are those people who formally belong to the United States Air Force and employ and support some aspect of the United States Air Force's air and space power capabilities. The term airman is often used in a narrow sense to mean pilot. An airman is any person who understands and appreciates the full range of air and space power capabilities and can employ or support some aspect of air and space power capabilities.

**Control Roster**—A rehabilitative tool for commanders to use for individuals whose duty performance is substandard or who fail to meet or maintain DAF standards of conduct, bearing, and integrity, on or off duty.

**Commander**—A commissioned officer who, by virtue of rank and assignment, exercises command authority over a military organization or prescribed territorial area, which under pertinent official directives is recognized as a 'command.' This designation is used in all Air Force organizations authorized to be led by a commander, except the US Air Force Academy, which is commanded by a superintendent, and school/academic organizations, which may be commanded by commandants (see AFI 51-509).

**Commander's Management Rosters**—Listings of personnel assigned within the unit possessing a quality indicator (UIF, control roster, Article 15, etc.). The Commander's Enlisted Management Roster also lists personnel possessing Enlisted Performance Report ratings which might indicate close observation of their performance is appropriate.

**Duty Status**—A member is considered to be in a duty status during any period of Active Duty, funeral honors duty or Inactive Duty Training; while traveling directly to or from the place at which funeral honors duty or inactive duty is performed; while remaining overnight immediately before the commencement of inactive duty training or between successive periods of inactive duty training, at or in the vicinity of the site of the Inactive Duty Training, if the site is outside reasonable commuting distance of the member's residence; and while remaining overnight at or in the vicinity of the place the funeral honors duty is to be performed immediately before serving such duty, if the place is outside of a reasonable commuting distance from the member's residence.

**Dropped-from-Rolls Status**—An administrative procedure that removes a member from the unit of assignment and reduces the unit's strength. It does not end the member's military status.



**Drop from the Rolls Action**—Dropping an enlisted member from the rolls of the DAF terminates the enlisted member's military status as of 2400 hours on the date specified in the orders.

**Extraordinary Adverse Information**—Adverse information that has received significant media attention or is of interest to the Senate Armed Services Committee.

**Guardian or Guardians**—A member or members of the United States Space Force.

**Misconduct**—Intentional conduct that is wrongful or improper.

**Personally Identifiable Information**—Includes the person's name, other particularly identifying descriptions (e.g., physical characteristics or identity by position, rank or organization) or other information about the person or the facts and circumstances involved that could reasonably be understood to identify the person (e.g., a female in a particular squadron or barracks when there is only one female assigned).

**Personnel Information File**—Are used in the handling of commander's or supervisor's assigned personnel. These files are maintained and kept under authority of 10 USC Section 9013 and prescribed in DAFI 36-2608.

**Preponderance of the Evidence**—A preponderance of the evidence merely means that it is more likely than not that a fact exists. Preponderance of the evidence is not determined solely by the volume of witnesses or documentary evidence supporting or refuting an allegation. Rather, it is based on the totality of the circumstances, the inherent probability or improbability of the evidence, and a determination as to the weight and significance of the evidence and the credibility of the witnesses.

**Separate(d)/Separation**—Severance of military affiliation as opposed to released from active duty. For the purposes of this instruction, separate(d)/separation refers to discharge from the Air/Space Force (either Regular or ARC).

**UIF Establishing Authority**—The person with the authority to establish a UIF. See [paragraph 3.1](#) for a complete list.

**Wing/Delta Commander (or equivalent)**—An officer in the grade of colonel or above who is a senior rater in the officer's chain of command.

**Attachment 2****ADMINISTRATIVE ACTION PROGRAM SELF-INSPECTION CHECKLIST****A2.1. Self-Inspection Checklist:**

**A2.2.** The proper authority is administering the UIF action.

**A2.3.** All mandatory documents are filed in the UIF.

**A2.4.** The UIF establishing authority refers optional UIF documents to the member before putting them in the UIF.

**A2.5.** The UIF establishing authority allows the member 3 duty days to acknowledge the intended UIF action before the commander completes the action, for ARC members not in an active duty status the individual has 45 calendar days from the date of receipt of the certified letter. (See [paragraph 3.2.4](#) of this instruction.)

**A2.6.** The UIF establishing authority advises the member about the decision regarding the UIF within the required time limit.

**A2.7.** The UIF establishing authority considers filing disciplinary actions completed before the UIF was established in a UIF.

**A2.8.** The unit receives a copy of DAF Form 1137 from the Adverse Administrative Actions Manager when a UIF is established or changed. The UIF establishing authority reviews UIFs before a Permanent Change of Station or Permanent Change of Assignment.

**A2.9.** The UIF establishing authority and the Office of the Staff Judge Advocate review UIFs on an annual basis.

**A2.10.** The UIF establishing authority or UIF establishing authority's representative replies to UIF recommendations made by the FSS or the Office of the Staff Judge Advocate.

**A2.11.** The UIF establishing authority discusses early removal of a UIF document with the Office of the Staff Judge Advocate.

**A2.12.** The UIF establishing authority uses DAF Form 1058 to remove documents from the UIF, citing the specific document

**A2.13.** The Adverse Administrative Actions Manager marks the front and back of envelopes containing UIF information CONTROLLED UNCLASSIFIED INFORMATION.

**A2.14.** The commander decides what happens to the UIF once the member returns from Absent Without Leave, deserter, or non-participant status.

**A2.15.** The commander considers a control roster observation period for members who demonstrate substandard duty performance or fail to maintain DAF standards.

**A2.16.** The commander periodically (at least every 60 days) counsels personnel on the control roster about their improvement.

**A2.17.** The commander considers directing an Officer or Enlisted Performance Report before entering or removing a member from the control roster.

**A2.18.** The commander considers the member's service and overseas retainability before putting the member on the control roster.

**A2.19.** The commander removes the member from formal training during the control roster observation period.

**A2.20.** The proper authority places the member on the control roster using DAF Form 1058.

**A2.21.** The letter of reprimand, admonishment, counseling, or RIC is prepared correctly.

**A2.22.** The member replied to a letter of reprimand, admonishment, or counseling within 3 duty days. For ARC members not in a duty status, the individual has 45 calendar days from the date of receipt of the certified letter. (See [paragraph 3.2.4](#))

**A2.23.** Documentation of adverse administrative actions officers receive while TDY or deployed is forwarded to the unit commander for file in the UIF, PIF, MPerRGp, and Officer Selection Record as applicable.

**A2.24.** The commander is aware that once the control roster expires the individual will not be placed back on the control roster unless a separate incident occurs. **(T-1)**

## Attachment 3

## UIF LEGAL REVIEW CHECKLIST

Name:	Rank:	Unit:

1.  Yes  No  N/A Is the UIF folder marked with the individual's correct name?
2.  Yes  No  N/A Is the UIF folder marked front and back with "Controlled Unclassified Information"?
3.  Yes  No  N/A Was an automated listing (Commander Enlisted/Officer Management Roster) of all current UIFs provided, AND did the listing for this UIF match the documents contained in this UIF?
4.  Yes  No  N/A Is the proper UIF establishing authority (see paras. 3.1 and 3.2) making the decision to file the records in the UIF?
5.  Yes  No  N/A Are documents filed in the UIF with an entry on the DAF Form 1137, with associated DAF Forms 1058 AND with appropriate disposition date(s) on the DAF Form 1137?  
  
**Note:** Mandatory documents for enlisted members are Article 15s with punishment exceeding 1 month (enlisted), court-martial or civilian court convictions; all Article 15s and LORs for officers.
6.  Yes  No  N/A Did the DAF Form 1058 indicate the member was served copies of the optional UIF documents before they were put in the UIF?
7.  Yes  No  N/A Was the member given 3 duty days (45 calendar days for Air Reserve Component members not in a duty status) to acknowledge the intended UIF action on the DAF Form 1058?
8.  Yes  No  N/A Did the UIF establishing authority advise the member about the UIF decision on the DAF Form 1058?
9.  Yes  No  N/A Does the DAF Form 1137 contain the incident summary, disposition date, the UIF establishing authority's evaluation of the incident, the commander's dated signature, AND the administrative action taken?
10.  Yes  No  N/A Did the Adverse Administrative Actions Manager (or First Sergeant, if the member is enlisted) sign and date each entry?

11.  Yes  No  N/A Is the LOC/LOA/LOR prepared properly and correctly, giving the member 3 duty days (or 45 calendar days) to submit additional matters?
- Does the letter state:
1. What the member did or failed to do, citing specific incidents and their dates?
  2. What improvement is expected?
  3. That further deviation may result in more severe action.
  4. The evidentiary documents used to support the action?
  5. Member has 3 duty days (or 45 calendar days) to submit rebuttal documents for consideration by the initiator.
  6. Any written response provided by the member and/or his or her counsel will become part of the record.
  7. The initiator of the letter has 3 duty days (or 45 calendar days) to advise the individual of the final decision regarding any comments submitted.
  8. Does the letter contain a Privacy Act statement?
12.  Yes  No  N/A If document(s) have been removed, has the DAF Form 1137 been sanitized by erasing/whiting out comments about the removed document(s)?
13.  Yes  No  N/A Is there an DAF Form 1058 or memorandum for each time a document was removed early from the UIF, citing the specific document? Member should also acknowledge early removal.
14.  Yes  No  N/A Did the UIF establishing authority decide to establish a UIF within 6 months of the date of the document/action, AND is the member still within the same enlistment?
15.  Yes  No  N/A Is any control roster correctly initiated with a DAF Form 1058?
1. Did the member acknowledge the action in Block III of the form?
  2. Was the UIF establishing authority's action at least 3 duty days (or 45 calendar days) after acknowledgement?
  3. Does the time period of any control roster action exceed 6 months minus one day (or 12-months for a member of the Air Reserve Component)? It may not. (See AFI 90-301 and DAFI 36-2501).
16.  Yes  No  N/A Does the UIF contain matters submitted by the member in response to the RIC/LOC/LOA/LOR? The answer should be yes. (See AFI 90-301 and DAFI 36-2501).
17.  Yes  No  N/A Does the UIF contain matters submitted by the member in response to an Article 15? The answer should be no. (See AFI 90-301 and DAFI 36-2501).

18.  Yes  No  N/A Did the commander or equivalent forward copies of all adverse information to SAF/IG on general officers and general officer selects? The answer should be yes. (see AFI 90-301 and DAFI 36-2501)
19.  Yes  No  N/A Did the commander or equivalent forward copies of the initial and updated DAF Form 1137 for officers in the grade of Colonel and below to the officer's servicing and gaining major command or field command (para. 2.4.2.4.4) (Regular Air Force and Air Force Reserve only)? Did the commander forward copies of the initial and updated DAF Form 1137 for officers in the grade of Lieutenant Colonel and below to the officer's installation Inspector General for forwarding to SAF/IGQ in accordance with AFI 90-301 as applicable (Air National Guard only)?
20.  Yes  No  N/A Did the commander or equivalent forward copies of all adverse information to SAF/IGQ for officers in the grade of Major (O-4) and Lieutenant Colonel (O-5)? The answer should be yes. (see AFI 90-301)
21.  Yes  No  N/A Are there any adverse actions contained in the UIF that were implemented without coordination with the legal office? The answer should be no.
1. If yes, was the level of censure (i.e., administrative or nonjudicial) appropriate for the alleged offense(s)?
22.  Yes  No  N/A Has the correct expiration date been entered in the UIF for the document(s) referenced above?  
If not, what is the correct expiration date? \_\_\_\_\_

Disposition dates for common situations are as follows:

1. LOC/LOA/LOR (enlisted): 1 year from the date the UIF establishing authority signs section V of DAF Form 1058 (enlisted). **Note:** If there are more than 14 days between the date on the DAF Form 1058 and the date of the LOC/LOA/LOR, create a Memorandum for Record documenting the discrepancy and use the date of the LOC/LOA/LOR.
2. LOC/LOA/LOR (officer): Two years from the date the commander signs section V of DAF Form 1058. Only the member's current wing/delta commander (or equivalent), imposing or issuing authority, whichever is higher, may authorize early removal. **Note:** If there are more than 14 days between the date on the DAF Form 1058 and the date of the LOC/LOA/LOR, create a Memorandum for Record documenting the discrepancy and use the date of the LOC/LOA/LOR.
3. Article 15s (enlisted) with punishment of 31 days or more: date the punishment or suspension was complete or the date the judgment was vacated (minimum); two years from the date of the commander's punishment decision (maximum).

- 4. Article 15s (officer): Four years from the date the commander’s punishment decision, or Permanent Change of Station, transfer to another component, or separation plus one year, whichever is later.
- 5. Court-martial convictions: Two years from the date the sentence was adjudged (enlisted); four years from the date the sentence was adjudged or Permanent Change of Station, transfer to another component, or separation plus one year, or completion of sentence, whichever is later (officer).
- 6. Civilian convictions with possible sentence greater than one year or death: two years from the date the sentence was final.
- 7. Control Roster Action: Member can only be on a control roster for six-months minus one-day (up to 12 months for Reservists) after the commander signs Section V on the DAF Form 1058; record of a control roster action can remain in a UIF for up to 1 year after the commander or equivalent signs Section V on the DAF Form 1058

23.  Yes     No     N/A    Does the UIF establishing authority have the authority to remove the document(s) earlier than the expiration date?

**In accordance with DAFI 36-2907, *Adverse Administrative Actions*, we have completed the legal review of the UIF on the member indicated on the top of this form and find it:**

Legally Sufficient       Legally Insufficient; see attached comments       Legally sufficient, subject to the attached comments being appropriately addressed  
Date

\_\_\_\_\_  
Assistant Staff Judge Advocate,  
Unit

Attachment:  
Legal Comments

I compared the automated listing for this UIF to the actual documents in this UIF folder to ensure database integrity. **Note:** *The commander should respond to the legal review and state what was resolved and what still needs resolution. A commander may attach a “Legal Comments Resolution” document but must reference the attachment in the response.*

Date

Commander, \_\_\_\_\_

Unit

Attachment: Legal Comments Resolution



**Attachment 4**

**SAMPLE GUIDANCE FOR RELEASE OF REPORT MEMORANDUM**

*Letterhead*

MEMORANDUM FOR [Respondent's Name]

FROM: [Insert Office]

SUBJECT: Guidance for the Release of [Name of Report/Investigation]

The attached Report of Investigation is provided to you CONTROLLED UNCLASSIFIED INFORMATION in responding to your [command action, such as letter of reprimand]. As these are protected documents, you and your attorney must comply with the provisions of the Privacy Act and AFI 90-301, *Inspector General Complaints Resolution*. Furthermore, you should be aware that improper release of information contained therein may constitute a violation of the Privacy Act, with potential civil and criminal penalties.

Although you may utilize and/or attach these documents in your response to the [command action], you may not otherwise copy or distribute this Report of Investigation, or allow any other party to gain access to this Report of Investigation without prior consultation with [owner of the Report of Investigation/i.e., SAF/IGS] and prior approval of [owner of the report]. Furthermore, you are instructed to destroy these records upon completion of this action or return them to this office.

Please do not hesitate to contact [Point of Contact's name] at [contact information] with any questions or concerns you may have on the proper release or disposal of this record.

Signature Block

Attachment:  
Report

## Attachment 5

## SAMPLE ADMINISTRATIVE LETTER

Date

MEMORANDUM FOR [RANK FIRST M. LAST]

FROM: Organization/Office Symbol [Issuer's organization and office symbol]

SUBJECT: Letter of [Counseling/Admonishment/Reprimand]

1. Investigation has disclosed [the basis for the action, including what the member did or failed to do, citing specific incident(s) and their date(s)].
2. You are hereby [counseled/admonished/reprimanded]! [Discuss the impact of what the member did or failed to do]. [What improvement is expected]. Your conduct is unacceptable and further deviation may result in more severe action.
3. The following information required by the Privacy Act is provided for your information. AUTHORITY: 10 USC § 9013. PURPOSE: To obtain any comments or documents you desire to submit (on a voluntary basis) for consideration concerning this action. ROUTINE USES: Provides you an opportunity to submit comments or documents for consideration. If provided, the response you submit becomes a part of the record. DISCLOSURE: Your written acknowledgment of receipt and signature are mandatory. Any other comments or documents you provide are voluntary.
4. [For Space Force, Regular Air Force, Active Guard and Reserve, Air Reserve Component statutory tour members, or Air National Guard members in Title 10 status (officer and enlisted)]: You will acknowledge receipt of this letter of [counseling/admonishment/reprimand] immediately by signing the first indorsement. Within 3 duty days from the day you received this letter, you will provide your response by signing the second indorsement below. Any comments or documents you wish to be considered concerning this letter must be submitted at that time, and will become part of the record, consistent with DAFI 36-2907, *Adverse Administrative Actions*, paragraph 2.4.2.5.. After receiving your response, I intend to notify you of my final disposition of this action within 3 duty days.
5. [For Air Reserve Component members not in a duty status]: You will acknowledge receipt of this letter of [counseling/admonishment/reprimand] immediately by signing the first indorsement. Within 45 calendar days from the day you received this letter, you will provide your response by signing the second indorsement below. Any comments or documents you wish to be considered concerning this letter must be submitted at that time, and will become part of the record, consistent with DAFI 36-2907, *Adverse Administrative Actions*, paragraph 2.4.2.5.. After receiving your response, you will be notified of my final decision regarding any comments submitted by you within 45 calendar days.

Signature

Issuing Authority's Duty Title, Organization

[Attachment(s):  
Enumerated documents as appropriate]

1st Ind to Organization/Office Symbol [of issuer], date, Letter of  
[Counseling/Admonishment/Reprimand]  
Recipient's Rank First M. Last Date

MEMORANDUM FOR Organization/Office Symbol [Issuer's organization and office symbol]

I acknowledge receipt and understanding of this letter on \_\_\_\_\_ at \_\_\_\_\_  
hours. I understand that I have [3 duty days][45 calendar days] from the date I received this letter  
to provide a response and that I must include in my response any comments or documents I wish  
to be considered concerning this letter of [counseling/admonishment/ reprimand].

Signature  
Letter Recipient

2nd Ind, Recipient's Rank First M. Last Date

MEMORANDUM FOR Organization/Office Symbol [Issuer's organization and office symbol]

I have reviewed the allegations contained in this letter of [counseling/admonishment/reprimand].  
(I am submitting the attached documents in response) (I hereby waive my right to respond).

Signature  
Letter Recipient

3d Ind, Organization/Office Symbol [Issuer's organization and office symbol] Date

MEMORANDUM FOR RECIPIENT RANK FIRST M. LAST

(I have considered the response you submitted on \_\_\_\_\_.) (You waived your right to  
submit a response to this action). I have decided to [withdraw the letter of  
[counseling/admonishment/reprimand] [sustain the letter of  
counseling/admonishment/reprimand] [reduce the action to a letter of  
admonishment/counseling]. [For officers only: Issuing authority must forward any adverse  
information as defined in **paragraph 1.2.1** to the officer's commander for filing in the member's  
Officer Selection Record.]

Signature  
Issuing Authority's Duty Title, Organization

4th Ind to Organization/Office Symbol [of issuer], date, Letter of  
[Counseling/Admonishment/Reprimand]

Recipient's Rank First M. Last

Date

MEMORANDUM FOR Organization/Office Symbol [Issuer's organization and office symbol]

I acknowledge receipt of the final decision regarding disposition of this letter of  
[counseling/admonishment/reprimand] on \_\_\_\_\_ at \_\_\_\_\_ hours.

Signature  
Letter Recipient

**Note:** The 1st Indorsement (Ind) is dated the same day the member receives the letter; the 2d Ind is dated within 3 duty days (or 45 calendar days for Air Reserve Component members not in a duty status); the 3d Ind should be dated within 3 duty days (or 45 calendar days) of the 2d Ind. When the first indorsement occurs on any page other than the letterhead page, it must include the citation line for the letter. In this example, the 1st Ind is the first indorsement to occur on a new page. The citation line for the indorsement memorandum consists of the indorsement number followed by the Organization/Office Symbol, SUBJECT, and date of the original memorandum. The citation line ends with the indorsement date: for administrative actions this should be the same as the letter of reprimand date.

## Attachment 6

**SAMPLE MEMORANDUM FOR RECORD CAPTURING SUBSTANTIATED  
INVESTIGATIONS WITHOUT WRITTEN COMMAND ACTION, TO INCLUDE  
VERBAL COUNSELING**

*Letterhead*

Date

MEMORANDUM FOR [GRADE FIRST M. LAST]

FROM: Organization/Office Symbol [Issuer's organization and office symbol]

SUBJECT: Substantiated Investigation Without Written Command Action

1. The attached Adverse Information Summary (AIS) is referred to you for comment before it is filed in your Master Personnel Records Group (MPerRGp) and Officer Selection Record (OSR) per DAFI 36-2907, *Adverse Administrative Actions*.

2. In accordance with DAFI 36-2907, I am required to capture any substantiated findings from an officially documented investigation or inquiry, for which no written command action was taken, for inclusion in your MPerRGp and OSR. This is your opportunity to submit comments on the AIS before it is filed in your military personnel file. This memorandum, the AIS, and your comments (should you choose to submit them) will be filed in your MPerRGp and OSR.

The following information required by the Privacy Act is provided for your information.

**AUTHORITY:** 10 USC § 9013. **PURPOSE:** To obtain any comments or documents you desire to submit (on a voluntary basis) for consideration concerning this action.

**ROUTINE USES:** Provides you an opportunity to submit comments or documents for consideration. If provided, the response you submit becomes a part of the record.

**DISCLOSURE:** Your written acknowledgment of receipt and signature are mandatory.

Any other comments or documents you provide are voluntary.

3. [For Space Force, Regular Air Force and full-time Air Reserve Component officers (Active Guard and Reserve, Air Reserve Technicians, and officers serving in designated recall programs under 10 USC § 12301(d), etc.): You will acknowledge receipt of this memorandum immediately by signing the first indorsement. Within 3 duty days from the day you received this letter, you will provide your response by signing the second indorsement below.

4. [For all other Air Reserve Component members]: You will acknowledge receipt of this memorandum immediately by signing the first indorsement. Within 45 calendar days from the day you received this letter, you will provide your response by signing the second indorsement below.

Signature

Issuing Authority's Duty Title,  
Organization

[Attachment(s): Adverse Information Summary]

1st Ind to Organization/Office Symbol [of issuer], date, Memorandum for Record  
Recipient's Grade First M. Last Date

MEMORANDUM FOR Organization/Office Symbol [Issuer's organization and office  
symbol]

I acknowledge receipt and understanding of this letter on \_\_\_\_\_ at \_\_\_\_\_  
hours. I understand that I have [3 duty days-Active Duty][45 calendar days- part time  
reserve/guardsmen] from the date I received this letter to provide a response and that I  
must include in my response any comments or documents I wish to be filed with the  
Adverse Information Summary in my MPerRGp and OSR .

Signature  
Letter Recipient

2nd Ind, Recipient's Grade First M. Last Date

MEMORANDUM FOR Organization/Office Symbol [Issuer's organization and office symbol]

I have reviewed the contents of this Memorandum for Record. (I am submitting the attached  
documents in response) (I hereby waive my right to respond).

Signature  
Letter Recipient

3d Ind, Organization/Office Symbol [Issuer's organization and office symbol]

Date MEMORANDUM FOR RECIPIENT GRADE FIRST M. LAST  
(I have reviewed the response you submitted on \_\_\_\_\_.) (You waived your  
right to submit a response to this action. This Memorandum of Record and the Adverse  
Information Summary will be placed in your MPerRGp and OSR). (This Memorandum  
for Record, the Adverse Information Summary, and your response will be placed into  
your Officer Selection Record.)

Signature  
Issuing Authority's Duty Title,  
Organization

**Attachment 7**

**SAMPLE ADVERSE INFORMATION SUMMARY (T-0)**

Grade, Name, Armed Force, Component

GRADE AND POSITION AT THE TIME OF ALLEGATIONS:

WHAT MEMBER DID:

INVESTIGATING AGENCY:

FINDINGS:

DATE FINDINGS APPROVED:

COMMAND ACTION TAKEN:

REASON FOR COMMAND ACTION:

## Attachment 8 (T-0)

## DISPOSITION OF ADVERSE INFORMATION FOR INCLUSION IN THE OSR

Figure A8.1. FGO Adverse Information Disposition.

FGO Adverse Disposition				
Type	Retroactive	Adverse Issued Before 1 Jan 12	Adverse Issued Between	Disposition of Adverse Issued
			1 Jan 12 - 26 Feb 21	After 26 Feb 21
LOC "Standalone"	NO	Not required to be placed in OSR.	Not required to be placed in OSR.	Not required to be placed in OSR.
Not Substantiated Finding from investigation	NO	Not required to be placed in OSR.	Not required to be placed in OSR.	Not required to be placed in OSR.
Substantiated Finding without CC Action	YES	Not required to be placed in OSR.	Must be placed in OSR. (Note 1).	Must be placed in OSR (Note 1).
Substantiated Finding with verbal counseling	YES	Not required to be placed in OSR.	Must be placed in OSR. (Note 1).	Must be placed in OSR (Note 1).
LOC From Substantiated Finding	YES	Not required to be placed in OSR.	Must be placed in OSR.	Must be placed in OSR.
LOA	YES	Not required to be placed in OSR.	Must be placed in OSR.	Must be placed in OSR.
LOR	YES	Not required to be placed in OSR.	Must be added to OSR if not already included (Note 2).	Must be placed in OSR.
Article 15	YES	Must be added to OSR if not already included (Note 2).	Must be added to OSR if not already included (Note 2).	Must be placed in OSR.
Extraordinary Adverse	YES	Must be added to OSR if not already included (Note 2).	Must be added to OSR if not already included (Note 2).	Must be placed in OSR.
Approved court-martial findings of guilt	YES	Must be added to OSR if not already included (Note 3).	Must be added to OSR if not already included (Note 3).	Must be placed in OSR (Note 3).
Relief from Command	YES	Not required to be placed in OSR.	Must be added to OSR if not already included	Must be placed in OSR



(for cause)			(Note 2).	
<b>Developmental Education Removal (for cause)</b>	YES	<b>Not required</b> to be placed in OSR.	<b>Must</b> be added to OSR if not already included (Note 2).	<b>Must</b> be placed in OSR
<b>Note:</b>				
1. Must be documented with a memorandum for record and filed in the MPerRGp and OSR.				
2. Information already in OSR must remain subject to guidance in this instruction as defined <b>paragraph 1.2.</b>				
3. Unless the findings are overturned on appeal or set aside in their entirety, and the member is not subsequently found guilty at a rehearing or new trial				
4. This chart applies the rule to types of dispositions issued and/or accrued when the member was an FGO.				

**Figure A8.2. CGO Adverse Information Disposition.**

<b>CGO Adverse Disposition</b>				
<b>Type</b>	<b>Retroactive</b>	<b>Adverse Issued Before 1 Jan 12</b>	<b>Adverse Issued Between 1 Jan 12 - 26 Feb 21</b>	<b>Disposition of Adverse Issued After 26 Feb 21</b>
<b>LOC "Standalone"</b>	NO	<b>Not required</b> to be placed in OSR.	<b>Not required</b> to be placed in OSR.	<b>Not required</b> to be placed in OSR.
<b>Not Substantiated Finding from investigation</b>	NO	<b>Not required</b> to be placed in OSR.	<b>Not required</b> to be placed in OSR.	<b>Not required</b> to be placed in OSR.
<b>Substantiated Finding without CC Action</b>	NO	<b>Not required</b> to be placed in OSR.	<b>Not required</b> to be placed in OSR.	<b>Must</b> be placed in OSR.
<b>Substantiated Finding with verbal counseling</b>	NO	<b>Not required</b> to be placed in OSR.	<b>Not required</b> to be placed in OSR.	<b>Must</b> be placed in OSR (Note 1).
<b>LOC From Substantiated Finding</b>	NO	<b>Not required</b> to be placed in OSR.	<b>Not required</b> to be placed in OSR.	<b>Must</b> be placed in OSR.
<b>LOA</b>	NO	<b>Not required</b> to be placed in OSR.	<b>Not required</b> to be placed in OSR.	<b>Must</b> be placed in OSR.
<b>LOR</b>	NO	<b>Not required</b> to be placed in OSR.	<b>Not required</b> to be placed in OSR. (Note 2)	<b>Must</b> be placed in OSR.

<b>Article 15</b>	YES	<b>Must</b> be added to OSR if not already included ( <b>Note 2</b> ).	<b>Must</b> be added to OSR if not already included ( <b>Note 2</b> ).	<b>Must</b> be placed in OSR.
<b>Extraordinary Adverse</b>	YES	<b>Must</b> be added to OSR if not already included ( <b>Note 2</b> ).	<b>Must</b> be added to OSR if not already included ( <b>Note 2</b> ).	<b>Must</b> be placed in OSR.
<b>Approved court-martial findings of guilt</b>	YES	<b>Must</b> be added to OSR if not already included ( <b>Note 3</b> ).	<b>Must</b> be added to OSR if not already included ( <b>Note 3</b> ).	<b>Must</b> be placed in OSR ( <b>Note 3</b> ).
<b>Relief from Command (for cause)</b>	YES	<b>Not required</b> to be placed in OSR.	<b>Not required</b> to be placed in OSR.	<b>Must</b> be placed in OSR.
<b>Developmental Education Removal (for cause)</b>	YES	<b>Not required</b> to be placed in OSR.	<b>Not required</b> to be placed in OSR.	<b>Must</b> be placed in OSR.

**Note:**

1. Must be documented with a memorandum for record and filed in the MPerRGP and OSR.
2. Information already in OSR must remain subject to guidance in this instruction as defined in **paragraph 1.2**.
3. Unless the findings are overturned on appeal or set aside in their entirety, and the member is not subsequently found guilty at a rehearing or new trial.
4. This chart applies the rule to types of dispositions issued and/or accrued when the member was a CGO.

**Attachment 9**

**SAMPLE NOTICE OF RELIEF OF COMMAND MEMORANDUM**

*Letterhead*

Date

MEMORANDUM FOR [GRADE FIRST M. LAST]

FROM: Organization/Office Symbol [Issuer's organization and office symbol]

SUBJECT: Relief of Command

1. I have decided to relieve you of command of the [organization/office symbol] for cause, in accordance with AFI 51-509, *Appointment to and Assumption of Command*, paragraph 14.2, effective immediately.
2. I have reached this decision after careful consideration and after notifying [Issuer's MAJCOM/FLDCOM/CC].
3. You may contact your career field manager to begin working a follow-on assignment.

Signature  
Issuing Authority's Duty Title,  
Organization

Received on [Date]

Signature  
Letter Recipient