

**BY ORDER OF THE SECRETARY  
OF THE AIR FORCE**

**AIR FORCE POLICY DIRECTIVE 16-6**



**29 DECEMBER 2010**  
Certified Current, 20 March 2012  
**Operations Support**

**INTERNATIONAL ARMS CONTROL  
AND NONPROLIFERATION AGREEMENTS,  
AND THE DOD FOREIGN CLEARANCE  
PROGRAM**

**COMPLIANCE WITH THIS PUBLICATION IS MANDATORY**

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OPR: AF/A3/5

Supersedes: AFPD16-6, 25 February 2004

Certified by: AF/A3/5  
(Maj Gen Johnny A. Weida)

Pages: 7

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This Directive implements DOD Directive (DODD) 2060.1, *Implementation of, and Compliance with, Arms Control Agreements*, and DODD 4500.54E, *DOD Foreign Clearance Program*. It provides policy on arms control and nonproliferation agreements, and foreign clearance. It applies to the entire Air Force to include the Guard and Reserve. Refer recommended changes and conflicts between this and other publications to AF/A3/5, 1480 Air Force Pentagon, Washington D.C. 20330-1480, on the AF Form 847, *Recommendation for Change of Publication*; route AF Form 847s from the field through the appropriate office's chain of command. Ensure that all records created as a result of processes prescribed in this publication are maintained in accordance with AFMAN 33-363, Management of Records, and disposed of in accordance with the Air Force Records Disposition Schedule (RDS) located at <https://www.my.af.mil/afrims/afrims/afrims/rims.cfm>

**SUMMARY OF CHANGES**

This revision changes the title of AFPD 16-6 and the term throughout the document from "arms control agreements" to "international arms control and nonproliferation agreements," adds definitions of arms control, nonproliferation, and foreign clearance, adds references regarding the scope of the Directive, updates responsibilities regarding activities related to international arms control and nonproliferation agreements, and adds language implementing the DOD Foreign Clearance Program.

**1. The United States Government negotiates and enters into international agreements that advance US interests.** These international agreements can take various forms and cover many

areas that promote national security. This Directive applies to those international agreements that the US Government has entered into, or is considering entering into, that require identification, verification, inspection, limitation, control, reduction, or elimination of armed forces and armaments of all kinds including the necessary steps taken to establish an effective system of international control (“arms control”) or that prevent the proliferation of weapons of mass destruction by dissuading or impeding access to, or distribution of, sensitive technologies, material, and expertise (“nonproliferation”). This Directive also applies to those international agreements that the US Government has entered into, or is considering entering into, that address global access for aircraft, equipment, and personnel (“foreign clearance”). This Directive does not cover international agreements such as International Armaments Cooperation programs or joint military arrangements with allied nations. This Directive does not cover status-of-forces agreements, except in cases where global access issues are included such as payment of aviation-related fees, aircraft and personnel clearance requirements, and aircraft sovereignty. Historically, international arms control agreements include the Open Skies Treaty, the Conventional Armed Forces in Europe Treaty, the Strategic Arms Reduction Treaty, the Chemical Weapons Convention, and others, while international nonproliferation agreements include the Missile Technology Control Regime, the Nuclear Non-Proliferation Treaty, and the Proliferation Security Initiative. International sovereignty and foreign clearance agreements associated with the DOD Foreign Clearance Program include the Convention on International Civil Aviation (Chicago 1944) as it pertains to State aircraft, the Russia Transit Agreement, and other access agreements.

**2. It is the policy of the Department of Defense, and the Air Force, to support the negotiation of, and ensure compliance with, international arms control and nonproliferation agreements, contemplated or entered into by the US Government.** It is also the policy of the DOD, and the Air Force, to support the negotiation of, and ensure compliance with, international sovereignty and foreign clearance agreements pertaining to the DOD Foreign Clearance Program. This Directive establishes Air Force policy to ensure effective Air Force performance related to the negotiation of international arms control and nonproliferation agreements and for comprehensive implementation and full compliance with all relevant provisions of the agreements that the US enters into while maintaining US national defense goals and objectives. This Directive also establishes Air Force policy to ensure effective performance during the negotiation of international sovereignty and foreign clearance agreements pertaining to the DOD Foreign Clearance Program, and for comprehensive implementation and full compliance with all of the relevant provisions of these agreements.

**3. All Air Force organizations must know when and how their activities may be affected by international arms control and nonproliferation agreements.** Air Force organizations must be aware of the prohibitions, limitations, and intrusive inspection and transparency provisions of these agreements. Air Force organizations will implement relevant agreement provisions and ensure their programs/activities are in full compliance with the agreements.

**4. The Air Force will implement the DOD Foreign Clearance Program and these international arms control and nonproliferation agreements by integrating their requirements into the Planning, Programming, Budgeting and Execution system at all levels.** Additionally, the Air Force will continue to review policy, operations, force structure, and research, development, test and evaluation planning in relation to international arms control

and nonproliferation agreements to ensure Air Force interests are protected to the greatest extent possible.

**5. Implementation of, and compliance with, international arms control and nonproliferation agreements shall be carried out in a manner that avoids the compromise of national security information.** The implementation of the DOD Foreign Clearance Program, and compliance with the international sovereignty and foreign clearance agreements pertaining to it shall also be carried out in this manner.

**6. The following authorities and responsibilities are established:**

6.1. The Deputy Chief of Staff for Operations, Plans and Requirements (AF/A3/5) is the Air Force central authority for activities related to international arms control and nonproliferation agreements and oversees formulation of guidance for Air Force participation in the negotiation, inspection, verification, and compliance support of these agreements. AF/A3/5 is also the delegated authority for activities related to the DOD Foreign Clearance Program and oversees formulation of guidance for Air Force participation in these activities.

6.2. AF/A3/5 is responsible for managing all Air Force activities related to international arms control and nonproliferation agreements. These activities include negotiations support, policy formulation, implementation, compliance, education and training, and overall resource requirements (budget and manpower). In addition, AF/A3/5 is the office of primary accountability for ensuring Air Force responsibilities related to the DOD Foreign Clearance Program are met. These include management, coordination, and communication of DOD policies, guidance, and procedures regarding the payment of aviation-related fees and the sovereign nature of U.S. military aircraft, and the procurement of DOD aircraft diplomatic and personnel travel clearances necessary to implement the DOD FCP. Carrying out these DOD responsibilities will include communication with other U.S. Government departments and agencies, foreign governments, and non-governmental organizations, the formulation of policy guidance, advance planning to meet clearance needs, compliance counseling, outreach education and training, and overall resource requirements (budget and manpower). In addition, AF/A3/5:

6.2.1. Serves as the Air Force implementation and compliance review manager for each international arms control and nonproliferation agreement entered into by the US Government. If an activity may raise an issue of compliance with an arms control or nonproliferation agreement and AF/A3/5 cannot resolve the matter, AF/A3/5 shall seek clearance from the Under Secretary of Defense for Acquisition, Technology, and Logistics, through the appropriate Compliance Review Group, prior to the Air Force conducting the activity in question.

6.2.2. Develops, coordinates, and provides the Joint Staff and the Office of the Secretary of Defense with the Air Force position on negotiations and proposals for international arms control and nonproliferation agreements.

6.2.3. As the designee of the Executive Agent for the DOD Foreign Clearance Program, will implement aircraft clearance policy in a manner that meets the needs of the department of Defense, ensuring that Foreign Clearance Program activities are consistent with law and policy. Execution of Foreign Clearance Program functions will include conducting or participating in negotiations related to interagency and international

agreements as they pertain to the DoD Foreign Clearance Program. Participation will include the development, proposal, staffing, and provision of the DoD and Air Force positions pertaining to the DOD Foreign Clearance Program.

6.2.4. Allocates arms-control funded manpower billets at HQ USAF, MAJCOM, relevant Numbered Air Forces (NAFs), or Wing level, and coordinates on changes to their allocation.

6.2.5. Conducts reviews of weapons systems throughout the acquisition cycle for compliance with the provisions of international arms control and nonproliferation agreements.

6.3. The Office of the Assistant Secretary of the Air Force (Acquisition), SAF/AQ, and the Deputy Under Secretary (Space Programs), SAF/US(D), shall ensure the appropriate acquisition documents, to include test documents, are provided to AF/A3/5 for review and assessment of international arms control and nonproliferation implications.

6.4. The Administrative Assistant to the Secretary of the Air Force (SAF/AA) is responsible for coordinating with Air Force organizations with Special Access Programs on matters of international arms control and nonproliferation agreements. SAF/AA will coordinate with AF/A3/5 as required.

6.5. The Office of the General Counsel (SAF/GC) is responsible, within the Department of the Air Force, for legal interpretation of international arms control and nonproliferation agreements, and international sovereignty and foreign clearance agreements as they pertain to the DOD Foreign Clearance Program. SAF/GC shall provide advice and assistance for negotiation of, implementation of, and compliance with, international arms control and nonproliferation agreements, and international sovereignty and foreign clearance agreements affecting global access and the implementation of the DOD Foreign Clearance Program.

6.6. The Assistant Chief of Staff Strategic Deterrence and Nuclear Integration (AF/A10) shall work with AF/A3/5 on matters concerning international arms control and nonproliferation agreements that affect Air Force nuclear systems and operations. AF/A10 shall:

6.6.1. Assist AF/A3/5 in understanding the impact of proposed provisions of international arms control and nonproliferation agreements on Air Force nuclear systems and operations and coordinate in developing an Air Force position on proposed international agreement language.

6.6.2. Provide expertise and assistance when AF/A3/5 drafts policy and guidance to implement and comply with the provisions of international arms control and nonproliferation agreements that the US Government has entered into that affect Air Force nuclear systems and operations. Additionally, will develop, in coordination with AF/A3/5, plans detailing actions required to achieve arms control limits within specified time periods.

6.6.3. Provide expertise and assistance with the impact on Air Force nuclear posture when AF/A3/5 drafts policy and guidance for the Special Weapons Overflight Guide that impacts the peacetime airlift of nuclear weapons, components, and material.

MICHAEL B. DONLEY  
Secretary of the Air Force

**Attachment 1****GLOSSARY OF REFERENCES AND SUPPORTING INFORMATION*****References***

DODD 2060.1, *Implementation of, and Compliance with, Arms Control Agreements*, Jan 09, 2001

DODD 4500.54E, *DOD Foreign Clearance Program*, Dec 28, 2009

DODD 5530.3, *International Agreements*, Jun 11, 1987

AFPD 10-6, *Capabilities-Based Planning & Requirements Development*, May 31, 2006

AFPD 10-26, *Counter-Chemical, Biological, Radiological and Nuclear Operations*, Sep 26, 2007

AFPD 33-3, *Information Management*, Mar 28, 2006

AFI 10-601, *Operational Capability Requirements Development*, Jul 12, 2010

AFI 16-601, *Implementation of, and Compliance with, Arms Control Agreements*, Jun 7, 2004

AFI 16-603, *Education and Training Requirements for Implementation of, and Compliance with, Arms Control Agreements*, May 12, 2007

AFMAN 16-604, *Implementation of, and Compliance with, the Treaty on Open Skies*, Oct 20, 2009

AFMAN 33-363, *Management of Records*, Mar 1, 2008

AFI 16-605, *Implementation of the US-International Atomic Energy Agency Integrated Safeguards Agreements*, Jun 23, 2009

***Abbreviations and Acronyms***

**AFDW**— Air Force District of Washington

**AFI**— Air Force Instruction

**AFMAN**— Air Force Manual

**AFRC**—Air Force Reserve Command

**AFPD**— Air Force Policy Directive

**ANG**— Air National Guard

**DOD**— Department of Defense

**DODD**— Department of Defense Directive

**FCP**— Foreign Clearance Program

**HQ USAF**— Headquarters United States Air Force

**MAJCOM**— Major Command

**NAF**— Numbered Air Force

**OPR**— Office of Primary Responsibility

**SAF**— Secretary of the Air Force

**US**— United States

**USAF**— United States Air Force